

# The C Programming Language

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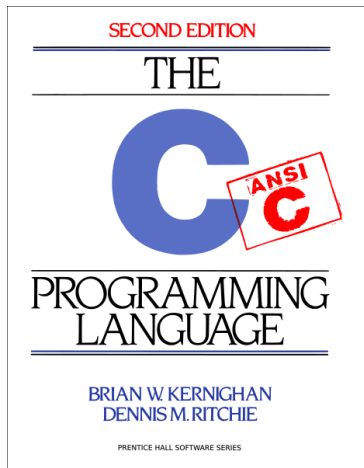
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# The Book (1)



## The Definitive Book

- Brian W. Kernighan, Dennis M. Ritchie
- First edition 1978 → “K&R” C
- Second (and most recent) edition 1988 → ANSI C
- Most recent standard: ISO/IEC 9899:2011 → “C11”

# The Book (2)

- C is a “small” language
- Few central concepts → simple — theoretically at least
- Complexity comes from the power of handling raw memory
- The book is *didactically perfect*
- Why should a C course be different?
- → This course follows the book (loosely)

# The Beginning

## There was nothing ...

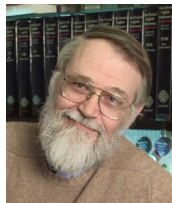
- ... but the PDP-11
- ... and a couple of cool guys
- Brian Kernighan, Dennis Ritchie → C
- Ken Thompson, Dennis Ritchie → first UNIX
- Ken Thompson → first Shell

## The rest is history!

# Cool Guys and Their Hobby



Kernighan und Ritchie



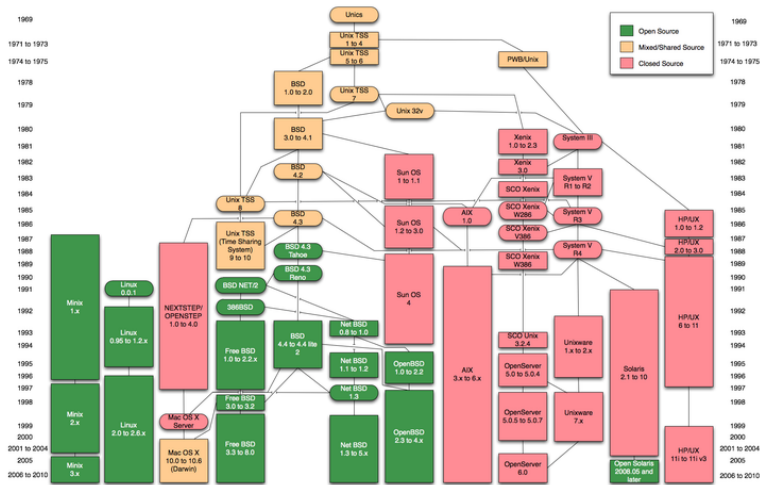
Brian Kernighan



Dennis Ritchie †2011



# History of UNIX





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# My First Program (1)

```
hello.c
#include <stdio.h>

void main(void)
{
    printf("hello, world\n");
}
```

## Build and execute

```
$ gcc hello.c
$ ./a.out
hello, world
```

## What we see ...

- A program consists of *functions* and *variables*
- Functions consist of *statements*
- Function call (`printf()`) is a statement
- `main()` is special
- Building appears simple but isn't
- `stdio.h??`

# My First Program (2)



```
#include <stdio.h>
```

*Declarations from the Standard IO Library (for printf())*

```
void main(void)
```

*Definition of main(). Required for a program.*

```
{  
    printf("hello, world\n");  
}
```

*Body of main(). Calling printf() with a string parameter/argument. \n is the newline character.*

# Character Arrays — Strings

## Strings are special in C

- *Character*: ...
- *String*: zero terminated character array
- Escape sequences, e.g. `\n` (newline), `\t` (tabulator), `\"`, `\0` (*null*)

"hello,world\n" corresponds to ...

h	e	l	l	o	,	w	o	r	l	d	\n	\0
---	---	---	---	---	---	---	---	---	---	---	----	----

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# My Second Program (1)

```
#include <stdio.h>

/* Fahrenheit/Celsius Table
   0 - 300, step 20 */
void main(void) {
    int fahr, celsius;
    int lower = 0, upper = 300, step = 20;

    fahr = lower;
    while (fahr <= upper) {
        celsius = 5 * (fahr - 32) / 9;
        printf("%d\t%d\n", fahr, celsius);
        fahr = fahr + step;
    }
}
```

# My Second Program (2)



```
/* ... */
```

Comment (can span multiple lines)

```
int fahr, celsius;
```

Variable *definition*. Must come at the beginning of a *block*.

```
int lower = 0, upper = 300,  
    step = 20;
```

Variable *definition* and *initialization*





## My Second Program (3)

```
while (fahr <= upper) {  
    ...  
}
```

**Loop:** “While condition holds, execute body”

**Condition:** *fahr* is less or equal *upper*

```
celsius = 5 * (fahr - 32) / 9;
```

Usual arithmetic (*expression*)  
→ *usual operator precedence rules*

- Careful: *integer division brutally truncates decimal places!*
- More natural but always 0:  $5/9 * (fahr-32)$

## My Second Program (4)

```
printf("%d\t%d\n", fahr, celsius);
```

- *Formatted output*
- → number of arguments can vary (?)
- %d obviously means “integer”
- **Important:** printf() is not part of the core language, but rather an ordinary *library function*
- → *standard library*



# More Datatypes

int	Integer, nowadays mostly 32 bits wide
float	Floating point number, mostly 32 bit
char	Single character (one byte, generally)
short	Smaller integer
double	<i>double precision</i> variant of float

- Width and precision of all datatypes is *machine dependent!*
- Compound datatypes: arrays, structures, ... (→ later)

# Exercises

## Following ugliness comes to mind:

- The output is not justified. (Hint: the format string "%6d" creates a 6 character wide right-justified field.)
- Integer arithmetic is inappropriate. Temperature conversion are better done in floating point.

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# for: Loop Simplification (1)

`while` **loop approach:**

- Fixed number of runs
- Counter is initialized
- Termination condition is evaluated
- Counter is incremented

**This can be done simpler!**

## for: Loop Simplification (2)

```
for (initialization; condition; step)  
  ...
```

- *initialization* is evaluated exactly once — before entering the loop
- *condition* is evaluated everytime before the loop body is entered. *false* → loop termination
- *step* is evaluated after the loop body, and before the condition

*initialization*, *condition* and *step* are regular *statements*

## Second Program, revisited

```
#include <stdio.h>

void main(void)
{
    int fahr;
    for (fahr = 0; fahr <= 300; fahr = fahr+20)
        printf("%6d\t%6.2f\n", fahr, 5.0/9 * (fahr - 32));
}
```

- Wherever there can be a variable, there can be an *expression*
- A *block* ({ and }) is only necessary when the loop body consists of multiple *statements*
- $5.0/9 * (fahr - 32)$  is float because 5.0 is



# Exercise

- Use a `for` loop to compute the temperature table. Do this backwards, 300 down to 0.

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# C Preprocessor: Symbolic Constants

## One does not write number literals in a program!

- Inflexible
- Unreadable (a matter of taste though)
- Leads to duplicated code

*C Preprocessor* replaces symbols with arbitrary strings → *Macros*

```
#define LOWER 0  
#define UPPER 300  
#define STEP 20
```

# Exercise

- Modify the temperature table to use macros!

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# The Outside World

## stdio.h: functions and constants for I/O

- Standard input and output
- File I/O
- Formatted
- Buffered

### Most simple ones first:

```
int c;  
c = getchar();  
putchar(c);
```



# cat for the Poor (1)

```
#include <stdio.h>

void main(void)
{
    int c;

    c = getchar();
    while (c != EOF) {
        putchar(c);
        c = getchar();
    }
}
```



# cat for the Poor (1)

```
while (c != EOF)
```

- EOF — *End-of-File*
- != — not equal

**But** ugly code duplication: `getchar()` called twice

## Abhilfe

```
while ((c = getchar()) != EOF)  
    putchar(c);
```

- An *assignment* is an *expression*  $\implies$  has a value
- Caution: braces!





## More Examples ...

### Counting input characters

```
long nc = 0;

while (getchar() != EOF)
    ++nc;
```

- ++: increment operator
- long: long integer (64 bit, mostly)

### Same with for loop and empty body ...

```
long nc;

for (nc = 0; getchar() != EOF; ++nc);
```



## More Examples — if

**Counting lines:** `'\n'` terminates a line

```
int c, nl = 0;

while ((c = getchar()) != EOF)
    if (c == '\n')
        ++nl;
```

- `if`: alright
- `==`: equality (**inappropriate with floating point numbers**)
- `'\n'`: character constant for newline (linefeed), ASCII 10 (0A)



# if, Formally

## if — else

```
if (expression)
    true-statement
else
    false-statement
```

### Statement can be:

- Single statement (terminated with ';')
- Multiple statements, grouped inside { }



# Operators, Formally

## Operators for use in expressions

<code>==</code>	Equality
<code>!=</code>	Inequality
<code>&amp;&amp;</code>	Boolean AND
<code>  </code>	Boolean OR
<code>!</code>	Boolean NOT

# Exercises

- Write a program that reads from standard input and counts characters, words, and lines. It prints the results on standard output. (Words are separated by one or more spaces, tabulators, or linefeeds.)
- Take into account error scenarios and corner cases. For example:
  - What if input starts with a space?
  - What if there are multiple separators between two words?



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# Arrays — Next Program (1)



**Nonsensical but illustrative exercise:** count digits, whitespace, and others.

```
int nwhite = 0, nother = 0;
```

Counter for whitespace and rest

```
int ndigit[10];  
  
for (i = 0; i < 10; ++i)  
    ndigit[i] = 0;
```

10 counters for digits 0..9.  
*Attention: Indexes start at 0*



## Arrays — Next Program (2)

```
while ((c = getchar()) != EOF)
    if (c >= '0' && c <= '9')
        ++ndigit[c-'0'];
    else if (c == ' ' || c == '\t' || c == '\n')
        ++nwhite;
    else
        ++nother;
```

### Matter of style ...

- There is only `if` and `else`
- No `elif` (as in Python, for example)
- $\implies$  second `if` is *nested*





## Exercise

- For every possible character, count the number of occurrences in the input. At program termination (end of file), print a histogram as in the example below. Printable characters are output as-is, nonprintable characters are output as their ASCII values.

```
0 ... |
1 ... |
.
'a' ... |xxxxxxxxxxxxxxxxxxxxxxxxxxxx
'b' ... |xxxxxxxxxxxxxxxxxxxx
.
.
```

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# Functions

## Function (subroutine, procedure): why?

- Externalizing code → multiple use
- Program structure
- Readability
- → Key to modularization

## How?

- No difference between *function* and *procedure*
- Function call can be used as value (is an expression)
- *Except* return type is void

```
#include <stdio.h>

int power(int base, int n)
{
    int p = 1;
    while (n-- > 0)
        p *= base;
    return p;
}

void main(void)
{
    int i;
    for (i = 0; i < 10; ++i)
        printf("2^%d = %d\n", i, power(2, i));
}
```



# A Nonsensical Example (1)

```
int power(int base, int n)
```

- Function name
  - Names *and types* of parameters
  - Return type
- 
- Parameters are *local* to function
  - Parameter names only relevant inside function
  - No conflicts with the outer world
  - → caller may use name base and i

## A Nonsensical Example (2)

```
int p = 1;
```

Local variable

```
while (n--)
```

*n-- ... Post increment:*  
expression's value is n's value  
*before* increment

```
p *= base;
```

Shorthand for  $p = p * \text{base};$

```
return p;
```

*Value* of the function as seen  
by the caller

# Definition vs. Declaration (1)

To generate a function call, the compiler wants to know its *prototype* → error checks

- Number of parameters
- Types of parameters
- Return type

Historical baggage: implicit function declarations → best avoided using function declarations

- `-Wimplicit`: warning issued when function called without declaration
- `-Werror`: treat warnings as errors → hygiene



## Definition vs. Declaration (2)

**Declaration:** declares prototype without giving a definition

```
int power(int base, int n);
```

“I promise that the function will have this prototype, please check”

- Definition can be given later
- ... in the same file, after the call
- ... in a different file (→ later)





# By Value / By Reference

## Parameters are only passed by value

- Function receives a *local copy* of the caller's value
- Modifications not visible to the caller
- Pass by reference  $\implies$  pointers (later)

```
int power(int base, int n)
{
    while (n-->0) ...
}
```

Caller does not see  
modifications to n

# Exercise

- Modify `power.c` to only *declare* `power()` before the call to it. Give the implementation after the call, below `main()`.

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## • Character Arrays

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# Strings: Mistake by Design?

- Only what is necessary is built-in in C
- From today's point of view C is *the* language for hardware-oriented programming
- Invented to keep UNIX portable, independent from PDP-11 assembler
- → C itself is the language core — everything else belongs in *libraries*

## Contradiction:

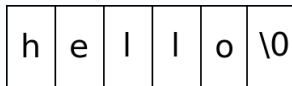
- Language core knows what string literals are
- 7-bit ASCII sufficed at that time → no multibyte character sets, no need for Unicode
- *But*: much later somebody claimed that “640K is enough”

# Strings: Definition

## String $\leftrightarrow$

- Array of characters ...
- ... terminated by a “null” character

```
char a_string[] = "hello";
```



# Strings: Library Functions

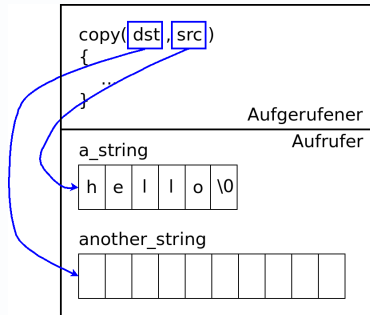
## Functions from the *standard library*

- `strlen(const char[])`
- `strcpy(char dest[], const char src[])`
- `strncpy(char dest[], const char src[], int maxlen)`
- `strcat(char dest[], const char src[])`
- `strncat(char dest[], char src[], int n)`
- `strcmp(const char lhs[], const char rhs[])`
- `strncmp(const char lhs[], const char rhs[], int maxlen)`
- Many more → `man -s 3 string`

# Strings as Parameters

Strings (like *arrays* in general) are passed as *pointers*  
⇒ *Modifications visible to the caller*

```
char a_string[] = "hello";  
char another_string[10];  
...  
copy(another_string, a_string);
```



# Strings: Dangers

## Low level definition leads to errors

- Copy: not enough memory allocated to hold the copy
- Forget to null-terminate when composing strings by hand
- ... many many more ...



# Exercise

- Write a (nonsensial) program that ...
  - Reads standard input line by line. To do so, implement a function `read_line(char line[], int maxlen)` (which internally uses `getchar()`)
  - Outputs the longest line at end of input.



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# Lifetime

## Two classes of variables ...

- Local (“automatic”). Lifetime (and visibility) is confined to the function call.
  - All variables that we had so far are *automatic*
- Global (“extern”). Lifetime  $\iff$  program

Another point of view: *visibility*  $\rightarrow$  later

# Global Variables

## Global variables are evil

- They obscure program logic
- Side effects through unnecessary persistence
- Global *constants* are ok

## There are use cases though ...

- Not a use case: **laziness**



# Global Variables: How?

- Functions (code) can only be defined in global scope
- Have access to *local variables* and *other global objects*

```
int i;  
  
void f(void)  
{  
    printf("%d\n", i);  
}
```

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# Type System

**A type system is in place, but it is complicated ...** at least with respect to built-in datatypes like integers and floating point numbers

- signed and unsigned variants
- long and short variants
- *Signedness* of `char` is machine dependent (i.e. *undefined*)
- Implicit type conversions
- Sign propagation
- ... and lots more ...
- → it is very important to understand how and why
- ... and to be defensive!

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# Variable- and Function Names

**By law:**  $[A-Za-z\_]+[A-Za-z0-9\_]*$

- Must start with letter or '\_'
- Next may come digits
- Reserved names (z.B. while) not allowed

## Examples

```
int _;  
char c;  
int c_89;  
float _avg_temp; /* careful! */  
int 1i; /* Error */
```

**Be defensive:** the standard states that names starting with underscores are reserved for standard libraries

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# Standard Data Types And Their Sizes



## C knows about the following base types

- `char`: one byte in the machine's character set (mostly ASCII, nowadays)
- `int`: integer, as the processor architecture sees fit (nowadays 32 bits, mostly)
- `float`: *single-precision* floating point
- `double`: *double-precision* floating point

**Attention:** C does not specify the width of any of these types! (“machine dependent”)

# Integer Variants ( “Qualifiers” )

## Width modification

- `short int` (abbrev: `short`)
- `long int` (abbrev: `long`)
- `long double`

## Helpers

- `CHAR_BITS`: a macro, bits per character (generally 8, nowadays)
- `sizeof`: operator, width in characters

## Signs (for all integer types)

- `signed`
- `unsigned`



# Widths

## Integer widths

Type	x86	amd64	arm
char	8	8	8
int	32	32	32
short	16	16	16
long	32	64	32

## <stdint.h>

int8_t	uint8_t
int16_t	uint16_t
int32_t	uint32_t
int64_t	uint64_t

## When to use which ...

- It depends (as always)
- Program flow (loop counters etc.) are natural integers (preferably `int` or `unsigned int`)
- In memory data structure where memory is tight: `short`
- Protocols, persistence: `<stdint.h>`

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# Constants and Types

**Question: which type has e.g. 42?**

→ some more rules follow ...

42, 052, 0x2A, 0b010010	int
42l, 42L	long
123.456f, 123.456F	float
123.456	double
123.456l, 123.456L	long double
'a', '\141', '\x61'	char
'\n'	char

## Character Constants: *Escape Sequences*

<code>\a</code>	“Alert”
<code>\b</code>	Backspace
<code>\f</code>	Formfeed
<code>\n</code>	Newline
<code>\r</code>	Carriage Return
<code>\t</code>	Horizontal TAB
<code>\v</code>	Vertical TAB
<code>\\</code>	Backslash
<code>\?</code>	Question mark
<code>\'</code>	Single Quote
<code>\"</code>	Double Quote
<code>\ooo</code>	Octal char value
<code>\xhh</code>	Hexadecimal char value





# String Constants

**String**  $\iff$  array of characters, terminated by null-byte

```
char hello[] = "hello,world\n";  
char hello[] = "hello," "world\n";  
char hello[] = "hello,"  
    "world\n";
```

- Concatenated by compiler
- $\rightarrow$  String literals may span multiple lines

h	e	l	l	o	,	w	o	r	l	d	\n	\0
---	---	---	---	---	---	---	---	---	---	---	----	----

# Character vs. String Constants

## Easily confused:

```
if ('x' == "x") { /* compiler error */  
    ...  
}
```

- 'x' is a character
- "x" is a character array (a *string*)

# Symbolic Constants (1)

**Preprocessor constants:** the *good old* way to express symbolic constants

```
#define JAN 0
#define FEB 1
#define MAR 2
...
```

- Preprocessor replaces all occurrences in text
- Often not desired
  - too brutal/stupid
  - alternative: manual maintenance of values → error prone



## Symbolic Constants (2)

**Enumeration** is often more appropriate

```
enum month {  
    JAN,  
    FEB,  
    MAR,  
    ...  
};
```

- Value has integer type
- *Value* is irrelevant, only comparison is
- → switch statement

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# Definitions und Initialization

## Variables must be known before they can be used

### Explicit initialization

```
int lower, upper, step;  
char c;  
  
lower = 0;  
upper = 300;  
step = 20;
```

### Implicit initialization

```
int lower = 0, upper = 300, step = 20;  
char c;
```

# Initialization of *Automatic* Variables

## Automatic variables

- Defined inside a function (at the beginning of a *block*)
- Initialized *at runtime* — everytime the code runs
- $\implies$  arbitrary expressions possible

```
void some_function(void)
{
    /* draw random number out of 0..9 */
    int some_variable = random() % 10;
    ...
}
```



# Initialization of *Global* Variables

## Global variables

- Defined in *global scope*
- Initialized *before program start*
- $\implies$  only constant expressions possible (calculated at compilation time, by the compiler)

```
const double pi = 3.1415926535897932;  
double some_nonsensical_number = pi / 2;
```

```
const char msg[] = "hallo";  
char msg[] = "hallo"; /* possible compiler warning */
```



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# Arithmetic Operators (1)

## Arithmetic Operators and Operands

Operator	Meaning	Operand type
*	multiplication	integer, floatingpoint
/	division	integer, floatingpoint
%	modulo	integer
+	addition	integer, floatingpoint
-	subtraction	integer, floatingpoint

**Attention:** integer division truncates!

# Arithmetic Operators (2)

## Precedence rules

- Multiplication, division, modulo precede addition, subtraction
- Operators with same precedence are associated *from left to right*

$30 / 2 + 1$	$(30 / 2) + 1$	precedence
$1 + 30 / 2$	$1 + (30 / 2)$	precedence
$1 - 2 + 3$	$(1 - 2) + 3$	left-associative
$30 / 3 \% 2$	$(30 / 3) \% 2$	left-associative

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# Relational Operators (1)

Operator	Meaning	Operand type
>	greater	integer, floatingpoint
>=	greater equal	integer, floatingpoint
<	less	integer, floatingpoint
<=	less equal	integer, floatingpoint
==	equal	integer, floatingpoint
!=	not equal	integer, floatingpoint

**Attention:** == and != is *legal* for floatingpoint numbers, but not what you want!

# Relational Operators (2)

## Precedence rules

① All relational operators are preceded by arithmetic operators

②  $>$ ,  $>=$ ,  $<$ ,  $<=$

③  $==$ ,  $!=$

- Operators with equal precedence are associated from *left to right*

## So what does that mean?

$3 - 1 == 2$

$'X' != 'U' == 1$

$1 == ('X' != 'U')$

$3 < 1 == 0 == 1$

$0 == 1 < 2$

Arithmetic has precedence

It is true that 'X' is not 'U'

Same, explicitly precedented

It is true that 3 is not less than 1

*What?!*

# Logical (*Boolean*) Operators

## Logical expressions

&&	AND
	OR

## Precedence rules

- Boolean operators bind less strong than relational and arithmetic operators
- “&&” precedes “||”
- Operators with equal precedence are associated from *left to right*

# Boolean Operators: Short-Circuit

## Short-circuit calculation

- Boolean expressions are only evaluated to the point where their truth value is known
- → Elegant and (for beginners at least) unreadable constructs

## Counting leading blank lines

```
int c, num_lf = 0;
```

```
while ((c = getchar()) != EOF && c == '\n' && ++num_lf);
```



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# Implicit Type Conversions

**Bad news:** C does not care much about widths and signs

- Assignment to narrower types simply cuts off
- Sign propagation is undefined
- Sign may change across signed/unsigned assignments
- → History is full of integer overflow bugs, sign bugs etc.
- GCC (and other compilers) has options that warn on possible type-bugs (can be very loud though)

Rules are not easy to comprehend — especially the “Why” → Examples ...

# Sign Bugs



## Unsigned to signed, same width

```
unsigned int ui = 4294967295U;  
int i = ui;
```

0xffffffff in 2's  
complement  
→ `i == -1`

## the other way around: signed to unsigned

```
int i = -1;  
unsigned int ui = i;
```

-1 is 0xffffffff in 2's  
complement  
→ `ui == 4294967295U`

This is **desired behavior from the very beginning** → no compiler error, no compiler warning!

- `-Wsign-conversion` (more global: `-Wconversion`)

# Truncation

```
unsigned long ul = 4294967296U;  
unsigned int ui = ul;
```

Unscrupulous conversion (by brutal truncation) of a 64 bit number (0x100000000) to a 32 bit number

→ ui == 0

- -Wconversion

# Sign Propagation

```
char c = '\310';  
int ic = c;
```

```
char is signed on x86_64  
c == -56
```

- `-Wconversion`

# Conversion Using Operators

**Hard rule:** if an operator gets passed different types, then the “weaker” is converted to the “stronger” — the result is of the “stronger” type

What does that mean (disregarding unsigned):

- If one operand is `long double`, then the other is converted
- else, if one is `double`, ...
- else, if one is `float`, ...
- else, `char` and `short` are converted to `int`
- $\implies$  *int is default type for arithmetic operations*

# Conversion and unsigned (1)

**Hard rule:** there is no hard rule. Well almost: when mixing unsigned and signed integers of the same width, then signed is converted to unsigned (Gosh!)

**Additionally:** widths are hardware defined!

$-1L < 1U$

*True:*  $1U$  becomes  $1L$ .  $-1U$  (unsigned 32) is less than the “stronger”  $-1L$  (signed 64), and fits in signed 64 *losslessly*

$-1L < 1UL$

*False:*  $-1L$  (signed 64) becomes unsigned 64, as dictated by the right hand side.

This is **desired behavior from the very beginning** → no compiler error, no compiler warning!



## Conversion and unsigned (2)

### Beware of mixing!

- Not a problem if the signed part can never become negative
- **Big problem otherwise!**

```
int x;  
unsigned int y;  
  
if (x < y) ...
```

```
$ gcc -Wsign-compare ...
```

```
warning: comparison between signed and unsigned integer expressions
```





# Compiler Warnings

**All that is desired behavior (read: historical baggage)** → compiler warnings have to be explicitly enabled

-Wsign-conversion	Sign could change
-Wconversion	Value and sign ...
-Wsign-compare	Comparison with mixed signed value
-Wtype-limits	E.g. if (ui >= 0) ...
-Wall	Selection of “good” warnings
-Wextra	... more good warnings
-pedantic	Does not hurt
-Werror	Anti-Sloppiness: <i>warnings become errors</i>

**General advice:** the more the better!

# Last Warning

**C's datatypes are immensely hazardous.** More hazardous is, though:

- Overengineering
- Messy design
- Loosing control over one's data structures
- Not knowing ranges of variables
- Not being open to program modification

# Forced Conversion — Cast



Should an automatic conversion be identified as being wrong (e.g. because the compiler warns), it can be *overridden* ...

```
int x;  
unsigned int y;  
  
if (x < (signed)y) ...
```

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# Confusion: ++, --

## Increment- and decrement-Operators with a subtle difference

```
i = 5;
```

Operator	Name	Value of i afterwards	Value of expression
<code>++i</code>	Pre-Increment	6	6
<code>i++</code>	Post-Increment	6	5
<code>--i</code>	Pre-Decrement	4	4
<code>i--</code>	Post-Decrement	4	5



## Confused to perfection (1)

**No confusion:** copying string while ignoring all occurrences of character `c` ...

```
void copy_and_omit(char dst[], const char src[], char c)
{
    int i = 0, j = 0;

    while (src[i] != '\0') {
        if (src[i] != c) {
            dst[j] = src[i];
            j = j + 1;
        }
        i = i + 1;
    }
    dst[j] = '\0';
}
```

## Confused to perfection (2)

### Real men complain:

- *So many lines for a trivial thing?*
- *Multiple indexing does not perform!*

```
void copy_and_omit(char dst[], const char src[], char c)
{
    int i = 0, j = 0;
    char cur;

    while ((cur = src[i++]) != '\0')
        if (cur != c)
            dst[j++] = cur;
    dst[j] = '\0';
}
```

# Übungen

- Schreiben Sie eine Funktion (und das dazugehörige aufrufende Programm), die wie das zuvor gesehene Beispiel einen String kopiert, aber, anstatt ein bestimmtes Zeichen einfach auszulassen, mehrfache Vorkommen des Zeichen auf eines reduziert!
- Statten Sie die Funktion mit Überlaufschutz aus! Dabei erhält die Funktion einen extra Parameter, der angibt, wie gross der Ziel-String ist.



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# Bit Manipulation

**C is a hardware language**  $\implies$  many operators to manipulate individual bits

&	bitwise AND
	bitwise OR
^	bitwise XOR
<<	shift left
>>	shift right
~	bitwise invert

## Why?

- Manipulating hardware registers
- Saving space (e.g. persistence, protocols)
- ...

# Bitwise AND and OR

## Extract/mask bits

	0x4b	01001011
&	0x0c	00001100
<hr/>		
	0x08	00001000

## Add bits

	0x4b	01001011
	0x0c	00001100
<hr/>		
	0x4f	01001111

# Bitweises XOR

## Exclusive OR

	0x4b	01001011
^	0x0c	00001100
	<hr/>	
	0x47	01000111



# Shift Left

0x03	<< 2	00000011
0x0c		00001100
<hr/>		
0x03	<< 7	00000011
0x80		10000000

- Filled with zeroes from right
- Bits fall off to the left

# Shift Right

0x30	>> 2		00110000
0x0c			00001100
<hr/>			
0x30	>> 5		00110000
			?????001

- Bits fall off to the right
- unsigned: filled with zeroes from left
- signed: *machine dependent*

→ Shift operations on signed entities is nonsense anyway

# Inverting (“One’s-Complement”)

~	0x4c	01001100
	0xb3	10110011

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# Combined Operators

## The long way

```
i = i + 2;  
arr[j] = arr[j] + 2;
```

## The short way

```
i += 2;  
arr[j] += 2;
```

- Less writing (→ confusion)
- Expression evaluated *only once* → performance
- Applies to +, -, \*, /, %, <<, >>, &, ^, |

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# ?: — Conditional Expression (1)

## The long way

```
int max(int a, int b)
{
    int z;

    if (a > b)
        z = a;
    else
        z = b;
    return z;
}
```

## ?: — Conditional Expression (2)

### The short way

```
int max(int a, int b)
{
    return (a > b)? a: b;
}
```

- Saving space
- Usable as expression

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# Summary: Operators

## Operators seen thus far

- Arithmetic (unary and binary)
- Comparison
- Boolean
- Bitwise
- Assignment (combined)
- Conditional expression

# Operators: Precedence und Associativity (1)

## Operator Table

- Ordered by precedence (strongest binding first)
- Left- or right associativity at equal precedence

## Attention

- Precedence und associativity often not intuitive
  - E.g.:  $x \mid y < z \iff x \mid (y < z)$
- $\rightarrow$  difficult to comprehend and remember
- *Use braces to make precedence explicit*
- $\rightarrow$  for yourself and for your successor

# Operators: Precedence und Associativity (2)

Operator	Associativity
<code>()</code> , <code>[]</code> , <code>-&gt;</code> , <code>.</code>	left
<code>!</code> , <code>~</code> , <code>++</code> , <code>--</code> , <code>+</code> , <code>-</code> , <code>*</code> , <code>&amp;</code> , <code>(type)</code> , <code>sizeof</code>	right (unary operators)
<code>*</code> , <code>/</code> , <code>%</code>	left
<code>+</code> , <code>-</code>	left
<code>&lt;&lt;</code> , <code>&gt;&gt;</code>	left
<code>&lt;</code> , <code>&lt;=</code> , <code>&gt;</code> , <code>&gt;=</code>	left
<code>==</code> , <code>!=</code>	left
<code>&amp;</code>	left
<code>^</code>	left
<code> </code>	left
<code>&amp;&amp;</code>	left
<code>  </code>	left
<code>?:</code>	right
<code>=</code> , <code>+=</code> , <code>-=</code> , <code>*=</code> , <code>/=</code> , <code>%=</code> , <code>&amp;=</code> , <code>^=</code> , <code> =</code> , <code>&lt;&lt;=</code> , <code>&gt;&gt;=</code>	right
<code>,</code>	left





## More Warnings

**The order in which operands are evaluated is unspecified (compiler dependent)**

```
x = f() + g(); /* DANGER! */
```

**The order in which function parameters are evaluated is unspecified (compiler dependent)**

```
f(++i, i); /* DANGER! */  
a[i] = i++; /* MORE DANGER */
```

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# Statement vs. Block

## Single statements terminated with “;”

```
a = 1;  
f(a);
```

**Block (“compound statement”)** is a group of statements → syntactically equivalent to a single statement

```
{  
    a = 1;  
    f(a);  
}
```

*Attention:* no “;”



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# Branches

If *condition* holds true, then we do *this*, else we do *that* ...

```
if (condition)
    this
else
    that
```

*this* und *that* are statements ...

```
if (a < 0)
    a = -a;
else {
    a = a;
    fprintf(stderr, "alright\n");
}
```

# True or False? What is Truth?

```
if (condition)  
  ...
```

- *condition* is an *expression*
- An *expression* has a value
- In `if` (other similar statements) its value is used as condition
- 0 ... condition does not hold → *false*
- Everything else ... → *true*

# else is optional (1)

if *may* be followed by an else branch (but need not)

```
if (condition)  
    if (another-condition)  
        this  
    else  
        that
```

**Ambiguity:** where does the else branch belong?



## else is optional (2)

**Dangling** else: compiler does not care about indentation  $\implies$  *careful!*

```
if (condition)
    if (another-condition)
        this
else
    that
```

### Braces required!

```
if (condition) {
    if (another-condition)
        this
}
else
    that
```

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# Style Matters

**Very popular:** multiple cases in a row

```
if (condition)
    this
else if (another-condition)
    that
else if (one-more)
    ...
else
    rest
```

**More appropriate** in such cases: switch



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# Case Distinctions

**Problem:** if - else if ... - else

- Much typing
- ... especially when checking for equality of integers
- Direct jump table (compiler generated) would be more efficient

# if - else if vs. switch

```
if (c == ' ')  
    ...  
else if (c == '\n' ||  
        c == '\t')  
    ...  
else  
    ...
```

```
switch (c) {  
    case ' ':  
        ...  
        break;  
    case '\n':  
    case '\t':  
        ...  
        break;  
    default:  
        ...  
}
```



# switch

- Labels must only be *constants* (and constant expressions), no *variables*
  - known at compile time
- Equality → code starting at label is executed, until the end of `switch` statement
- `break`: *fall through* otherwise
- *fall through* sometimes desired, but mostly not → careful!
- default label is optional

## When do I use it?

- Finite number of values (e.g. states of a *state machines*)
- `switch` over `enum` without default: compiler can warn about missing label → very useful!

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# while: general purpose loop

```
while (condition is true)  
    do something
```

- Most general looping construct
- Serves all uses
- With a couple of extra variables everything is doable
- ... in many cases complicated though

→ for, do - while

# From while to for (1)

## Iteration over sets of elements using while

### Iteration using while

```
i = 0;
sum = 0;
while (i < 100) {
    sum += i;
    ++i;
}
```

### Generally

```
init-expression
while (cond-expression) {
    body-statement
    next-expression
}
```

## From while to for (2)

The following constructs are equivalent:

```
init-expression  
while (cond-expression) {  
    body-statement  
    next-expression  
}
```

```
for (init-expression; cond-expression; next-expression)  
    body-statement
```

Plus:

- *init-expression*, *cond-expression* and *next-expression* are **optional**



# for, a Little Closer

```
for (i = 0, sum = 0; i < 100; ++i)
    sum += i;
```

*init-expression*    `i = 0, sum = 0`

*cond-expression*    `i < 100`

*next-expression*    `++i`

# Comma Operator

## Comma operator:

- The *expression* `expr-1, expr-2` has the value `expr-2`
- The *operator* “,” is left-associative
- Precedence: lowest precedence of all operators (see operator table)

```
wert = expr-1, expr-2; /* expr-2 */  
wert = expr-1, expr-2, expr-3; /* expr-3 */  
wert = 1, 2, 3; /* 3 */
```

# for: Infamous Idioms

## C is infamous for excessive compactness ...

As above, only more compact

```
for (i = 0, sum = 0; i < 100; sum += i++);
```

## Infinite loop

```
for (;;) {  
    mach_was();  
    sleep(5);  
}
```

A crash, in microcontroller terminology

```
for (;;) ;
```



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# do - while: Bedingung am Ende

```
do
    do-something
while (condition);
```

- Condition is checked *after* body
- $\implies$  body is executed *at least once*





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# break and continue

## Loop control apart from the condition

- **break**: terminates innermost enclosing loop or switch
  - Nesting: outer loop/switch not concerned
- **continue**: next loop iteration
  - Loop condition is checked
  - for: *next-expression* evaluated

```
for (i = 0; i < strlen(input); ++i) {  
    if (!isprint(input[i]))  
        /* do nothing for nonprintable chars */  
        continue;  
    error = do_something(input[i]);  
    if (error)  
        break;  
}
```



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# Structured Programming vs. goto

## Structured programming:

- Only controlled program flow
- Loops and branches
- At most break and continue
  - only one level concerned

## goto is the exact opposite

- Jump statement out of 10 nested loops
- → Massacre
- Edsger Dijkstra, 1968: “Goto Considered Harmful”
- → Plea for structured programming

# goto: Definition

## C offers easy ways to do what you want

- Dennis Ritchie: “There is no spirit of C!”
- Jörg Faschingbauer: *there is!*

Definition of goto:

- `goto label;`
- `label` is the name of a place inside the function
- $\rightarrow$  `label` is only *locally* visible
- Naming rules: like a variable
- `goto` can jump to arbitrary places inside the function

# goto: Use Cases

## Manifold, but ...

- Easily shot in the foot
- Suggestion: use judiciously *error handling*
- Linux Kernel is full of it

```
int do_complicated_stuff(void)
{
    while (...) {
        ...
        for (...) {
            if (error)
                goto out;
        }
    }
    return 0;
out:
    cleanup_mess();
    return -1;
}
```

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# Nesting

- **Global** objects
  - Variables
  - Functions
- **Local** objects
  - Variables
- Functions cannot be defined *locally*

```
int global;

void f(void)
{
    int local;

    /* visibility: */
    local = global;
}
```

# Modularization

No non-trivial program consists of only one source file → *modularization*

- Code in multiple files
- Separate compilation
- Organization in (shared) libraries
- Combining (*linking*) of separately compiled entities into an executable program
- **Re-use**: building different programs from the same *modules*

# Declaration vs. Definition

**Declaration:** compiler needs to know things (“*objects*”) in order to treat them right. It doesn’t need to know where in memory they are though — only the type.

**Definition:** setting aside memory for objects.

A definition is a declaration, but not vice versa.

- *Variables*
  - Until now we only *declared* and at the same time *defined* variables
  - Pure declaration possible → (“*extern*”)
- *Functions:* usually only *declared* before use, and *defined* separately.



# A Monolithic Program

```
void g(void); /* Declaration */
void main(void)
{
    g(); /* Use */
}
void f(void); /* Declaration */
void g(void) /* Definition */
{
    printf("g()\n");
    f(); /* Use */
}
void f(void) /* Definition */
{
    printf("f()\n");
}
```



# Separate Compilation

`f.c`

```
void f(void)
{
    printf("f()\n");
}
```

`g.c`

```
void f(void);
void g(void)
{
    printf("g()\n");
    f();
}
```

`main.c`

```
void g(void);
void main(void)
{
    g();
}
```

**Built like so:**

```
$ gcc main.c f.c g.c
```

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# Variables: Declaration and Definition

## Functions

- Functions are complex
- → usually not written on one line
- **Readability** → separate declaration and definition
- ... even when defined and called inside the same source file

## Variables

- Usually written on one line → declaration *and* definition
- → no need for a *declaration*
- **But:** how does one *declare* a variable (make it known to the compiler without allocating memory), and *define* it in a different file?

# Variables: Separating Declaration from Definition

`main.c`

```
extern int g_lobal;
void print_g(void);

void main(void)
{
    g_lobal = 100;
    print_g();
}
```

`g.c`

```
#include <stdio.h>

int g_lobal;

void print_g(void)
{
    printf("%d\n",
           g_lobal);
}
```



# Variables: Separating Declaration from Definition

## Compiler and linker work together

- `extern` variable declaration → explicitly marked as *declaration*
- *Compiler* does *not* set aside memory
- There is no address yet → *Compiler* cannot insert address where variable is used
- → Inserts a *reference*, to be *resolved* by the linker

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# Declarations: Problems (1)

## Declarations must exactly match corresponding definitions

main.c

```
extern int g_lobal;
void print_g(void);
void main(void)
{
    g_lobal = 100;
    print_g();
}
```

g.c

```
double g_lobal;
void print_g(
    const char[] format)
{
    ...
}
```

# Declarations: Problems (2)

## Severe bugs

- *Incorrect linkage*: perception of *user* does not match definition
- Hard to detect: no tool support — only discipline and conventions
- At best: *segmentation fault* → crash
- At worst: appears to work, but in fact doesn't

## Solution

- Centralize declarations → *header files*
- `#include "g.h"`, rather than giving declarations by hand



# Declarations: Solutions

**g.h**

```
#ifndef G_H
#define G_H

extern double g_global;
void print_g(
    const char[] format);

#endif
```

**g.c**

```
// have compiler check
// declaration/definition
// consistency
#include <g.h>

double g_global;

void print_g(
    const char[] format)
{
    ...
}
```

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# Lifetime and Visibility (1)

## Time span where a variable is alive (its address is valid)

- The time of a function call → *automatic* variable
- The entire program

## Visibility of a variable

- Inside the where it is defined → *internal* Variable
- Inside the file where is is defined
- Across the entire programx

# Lifetime and Visibility (2)

		Lifetime	
		Function	Program
Visibility	Function	<code>local: int i;</code>	<code>local: static int i;</code>
	File	—	<code>global: static int i;</code>
	Program	—	<code>global: int i;</code>



# Automatic Variables

- Visibility: function
- Lifetime: function

```
void f(void)
{
    int i;
}
```

# Local static Variable

- Visible only inside the function where it is defined
- Retains its value across function calls

- Visible: function
- Lifetime: program

```
void f(void)
{
    static int i;
}
```

# Global static Variable

Visible only inside the file where it has been defined; retains its value during program lifetime

- Visible: file
- Lifetime: program

```
static int i;
```

# Global Variable

Visible across all files; retains its value during program lifetime

- Visible: program
- Lifetime: Program

```
int i;
```

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# The C Preprocessor: *Why!*

## Dirty Hack!

- Has nothing to do with the language itself
- Invented to quickly solve problems in an *ad hoc* manner — completely ignoring the language
- Brutal and stupid text replacement
- → Please use cautiously!

# #include

**Include the content of a file** at the point in the source file where `#include` is written

**Two incarnations**, with a subtle difference

- `#include "file.h"`: search first in the directory where the “includer” is, and then along the search path
- `#include <file.h>`: searches only along the include path

**No rules**, but ...

- Header files contain declarations and macros
- Header files generally include header files: have to protect themselves against *multiple inclusion* → *include guards*
- `#include` always comes near the beginning of a source file — *never inside*

# Macros: Text Replacement

**Macro:** definition of a *token* that is brutally replaced

```
#define forever for (;;)  
  
...  
    forever {  
        sleep(1);  
        printf("No way out!");  
    }
```





# Macros: Constant Definition

```
#define LOWER 0
#define UPPER 300
#define STEP 20

for (i = LOWER; i < UPPER; i += STEP)
    ...
```

**Better:** C99 const Keyword

```
const int LOWER = 0;
```

→ Typed *immutable* variable

# Macros: Inline Replacement as *Function Call* (1)



## Original problem

- Function calls are slow
- Parameter passing → copy
- Return → copy

```
#define max(a, b) (((a) > (b)) ? (a) : (b))  
...  
x = max(1, 2);
```

→ Statement is *expanded* as if it were a function call

**But ...**

## Macros: Inline Replacement as *Function Call* (2)

### Braces are necessary:

```
/* #define max(a, b) (((a) > (b)) ? (a) : (b)) */  
#define max(a, b) ((a > b) ? a : b)  
...  
x = max(p+q, r+s);
```

*brutally* expands to ...

```
x = (p+q > r+s) ? p+q : r+s;
```

→ **Operator precedence massacre!**

# Macros: Inline Replacement as *Function Call* (3)



## C99: inline keyword

```
inline int max(int a, int b)
{
    return (a > b)? a : b;
}
```

**Drawback:** cannot use `max()` with different types

# Macros: Inline Replacement as *Function Call* (4)



## One more thing:

```
#define max(a, b) (((a) > (b)) ? (a) : (b))  
...  
x = max(i++, j++);
```

*brutally* expands to ...

```
x = ((i++) > (j++)) ? (i++) : (j++);
```

→ **Parameters are evaluated more than once!**



# Include Guards (1)

a.h

```
#include "c.h"
```

b.h

```
#include "c.h"
```

c.h

```
extern int g_global;
```

main.h

```
#include "a.h"
```

```
#include "b.h"
```

→ **Error:** multiple declaration of g\_global

## Include Guards (2)

**Solution:** define a “guard” macro *by hand* (!)

```
c.h
#ifndef HAVE_C_H
#define HAVE_C_H

extern int g_global;

#endif
```

### OMG!

- *By hand* — after all, it's got nothing to do with C
- → Bugs/errors are the logical consequence (e.g. guard macro clashes)
- → GCC Extension: `#include_once`

# The C Preprocessor: Last Words

- C is low-level but not stupid
- *The C preprocessor is stupid*
- C programmers can take it
- Newbies not
- Unnecessary hurdle
- → *Stupid!*



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# Conditional Compilation: Rules

## Directives

<code>#if</code>	Preprocessor condition (simple arithmetics, at most)
<code>#ifdef</code>	Definedness of a macro (regardless of its value)
<code>#ifndef</code>	Not-definedness of a macro
<code>#else</code>	(no comment)
<code>#elif</code>	as opposed to C's <code>else if</code>
<code>#endif</code>	(no comment)

## Operators for use with `if` and `elif`

<code>defined</code>	Definedness of a macro
<code>!</code>	Boolean NOT
<code>&amp;&amp;</code>	Boolean AND
<code>  </code>	Boolean OR
<code>==</code>	Equal
<code>!=</code>	Unequal



# Conditional Compilation: Examples

## Commenting out lines

```
#if 0 /* argh, there's a bug somewhere */
    int i;
    for (i=0; i<2; i--)
        do_something();
#else
    do_something();
    do_something();
#endif
```

## Multiple Conditions Combined

```
#if defined DEBUG && NUMBER == 3
    fprintf(stderr, "NUMBER equals 3\n");
#endif
```

# Conditional Compilation: Last Words

## Conditional compilation ...

- Doesn't make code more readable
- Begs for errors
- Is quite tempting to use in a hurry

## Typical uses

- Same code on multiple OS's
  - Better to extract OS-specific concepts
  - Define clear separation between OS independent and OS dependent code
  - Avoid inline `#ifdef`'s (maintenance horror)
- "Release" and "Debug" versions of the same code base
  - Again: avoid inline `#ifdef`'s
  - Define macros that expand appropriately



# Macros: Spanning Multiple Lines

**Macro definition can only span one line** → line continuation

## (Extremely Nonsensical) Multiline Macro

```
#define forever(body) \  
    for (;;) { \  
        body; \  
    }  
  
...  
int x = 1;  
forever(sprintf("%d\n", x); ++x);  
...
```

# Macros: Multiple Statements as One Statement (



## A Block Is Not a Statement

```
#define do_much() \  
    { \  
        do_this(); \  
        do_that(); \  
    }  
  
...  
if (42)  
    do_much(); /* ERROR! */  
else  
    do_less();
```

# Macros: Multiple Statements as One Statement (

Employ a little trick ...

## Making A Block Into Statement

```
#define do_much() \  
    do { \  
        do_this(); \  
        do_that(); \  
    } while (0)
```

## Silence Warnings of Microsoft's C Implementation

```
__pragma(warning(push))  
__pragma(warning(disable:4127))  
...  
__pragma(warning(pop))
```

# Stringification (1)

**Common problem:** output a C expression

## Macro Usage in Code

```
...  
WARN_IF(i>10);  
...
```

Should yield on stderr

## Appearance on stderr

```
WARNING: i>10
```



## Stringification (2)

### Solution: *Stringification*

```
#define WARN_IF(expr) \  
    do { \  
        if (expr) \  
            fprintf(stderr, "WARNING: " #expr "\n"); \  
    } while (0)
```

Macro argument is used twice ...

- evaluated as C in the if statement
- converted into a C string using #

# Token Pasting (1)

**Common Problem:** construct C identifiers from macro parameters

## Redundant Code

```
struct command
{
    char *name;
    void (*function) (void);
};

struct command commands[] =
{
    { "help", function_help },
    { "quit", function_quit }
};
```



## Token Pasting (2)

### **Solution:** *Token Pasting*

```
#define COMMAND(name) { #name, function_ ## name }

struct command commands[] =
{
    COMMAND(help),
    COMMAND(quit)
};
```



# Warnings and Errors

```
void inject_virus(HANDLE doomed_process)
{
#ifdef WIN32
    void *foreign_mem = VirtualAllocEx(
        doomed_process,
        0,
        8192,
        MEM_COMMIT,
        PAGE_EXECUTE|PAGE_READWRITE);
    ...
#else
    # error cannot infect foreign processes
#endif
}
```



## Predefined Macros (1)

`__FILE__` Name of current input file (C string)  
`__LINE__` Current line current input file (integer)

```
#define WARN_IF(expr) \  
    do { \  
        if (expr) \  
            fprintf(stderr, "%s:%d: WARNING: " #expr "\n", \  
                __FILE__, __LINE__); \  
    } while (0)
```

- Gives the position where `WARN_IF` was expanded, *not* where `WARN_IF` was defined

# The C Preprocessor: Last Words

**Always think twice!** First thought is likely wrong.

- Inline preprocessorisms *pollute code*
- Code should be kept readable and obvious
- Push down preprocessorisms into (architecture) specific places
  - Well defined *selection macros*
  - Forwarding-Headers
  - Common abstractions
- **Refactor immediately when smell detected!**
  - It is like the pest!

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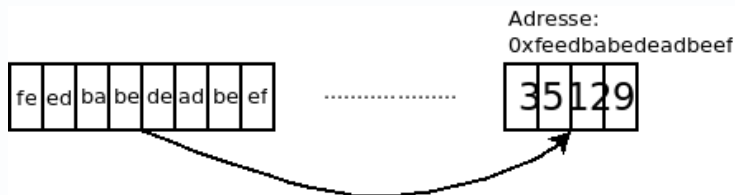


# Pointers: Basics

## Pointer $\longleftrightarrow$ Memory address

- Variable that *points* to another variable
- Basis for e.g. *call-by-reference*
- Simple in theory
- Practically difficult and dangerous

## Pointer to integer (64 bit)



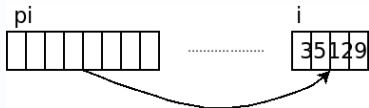
# Pointer: Operators

## Operations

- *Taking an address*: what is the address of the variable `i`?
- *Dereferencing*: what is the content of the memory location that a pointer points to?

### Taking an address

```
int i = 35129;  
int *pi;  
pi = &i;
```



### Dereferencing

```
int value = *pi;  
/* value == 35129 */
```



## More Examples

```
int x = 1, y = 2;
int *pi; /* pointer to int */

pi = &x; /* "pi points to x" */
*pi == 1; /* true */
x = 42;
*pi == 42; /* true */
pi = &y;
*pi == 2; /* true */

*pi = *pi + 1;
*pi += 1;
y == 4; /* true */

pi = 0; /* null pointer */
```

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# Call by Reference (1)

- **Problem:** in C, parameters are passed *by-copy* — callee see *copies* of the caller's values.
- **Question:** how can I use a function to *modify* the caller's value?

```
void f(int a)
{
    a = 42;
}

void main(void)
{
    int i = 1;

    f(i);
    /* i is still 1 */
}
```

## Call by Reference (2)

**Solution:** pointer

```
void f(int *a)
{
    *a = 42;
}

void main(void)
{
    int i = 1;

    f(&i);
}
```

# Exercise

- Write a function `swap()` that exchanges the content of two integer variables!

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# It's Only Memory

**Pointers and arrays** are closely related to each other

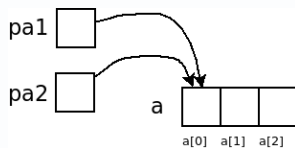
- Automatic conversion array  $\rightarrow$  pointer
- Array-type function parameters are in fact pointers to the first (0-th) array element
- Index operator ( $a[i]$ ) is *pointer arithmetic*
- *True strength of C*

```
int a[] = { 42, 1, 23 };
char str[] = { 'h', 'a', 'l', 'l', 'o', '\0' };

int *pa1 = &a[0];
int *pa2 = a;
```

# Conversion Array $\rightarrow$ Pointer

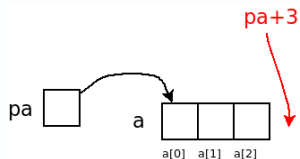
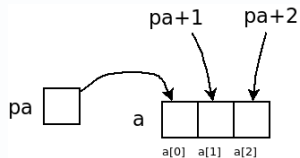
```
int a[3];  
int *pa1 = &a[0];  
int *pa2 = a;
```



# Pointer Arithmetic (1)

## Pointer and array index

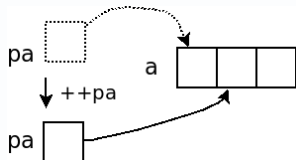
- *Pointer + Integer = Pointer*
- Equivalent to subscript (“index”) operator
- Just like subscript *there is no range check being made*
- → Errors happen
- *But: performance!*



## Pointer Arithmetic (2)

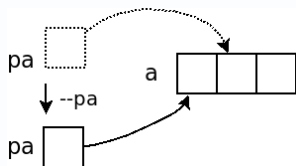
### Pointer increment

```
int *pa = a;  
++pa;
```



### Pointer decrement

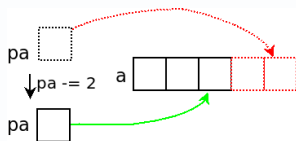
```
int *pa = &a[1];  
--pa;
```



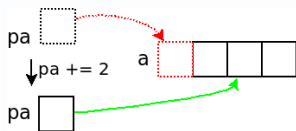
# Pointer Arithmetic (3)

Pointers don't necessarily have to point to something that is valid ...

```
*pa = a + 4;  
pa -= 2;  
i = *pa; /* ok */
```



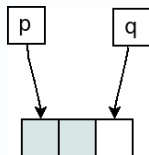
```
*pa = a - 1;  
pa += 2;  
i = *pa; /* ok */
```



# Pointer Arithmetic: Difference

How many elements are there between two pointers?

```
p = &a[0];  
q = &a[2];  
num = q - p; /* 2 */
```



Often (C++ STL) it is done like so:

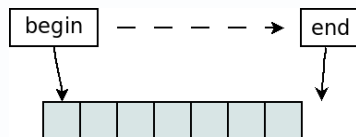
- *Beginning* of an array (“a set of elements” is the *pointer to the first element*)
- *end* is *pointer to one past the last valid element*

# Pointer Arithmetic: Array Algorithms

## Iterating over all elements of the array

```
int sum(const int *begin, const int *end)
{
    int sum = 0;

    while (begin < end)
        sum += *begin++; /* precedence? what? */
    return sum;
}
```



Beautiful, isn't it?

# Pointer Arithmetic: Jump Width? (1)

**So far:** pointer to `int` — how are arrays of other (even compound) types handled?

→ just the same!

- *Pointer + n*: points *n* elements further
- Type system is not stupid (only sometimes)
- Pointer know *which type* is being pointed to
- Be careful with `void` and `void*`: `sizeof(void)` is *undefined!*



## Pointer Arithmetic: Jump Width? (2)

```
struct point
{
    int x, y;
};

struct point points[3], *begin, *end;

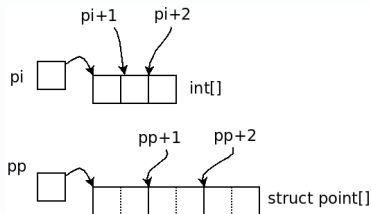
begin = points;
end = points + sizeof(points)/sizeof(struct point);

while (begin < end) {
    ...
    ++begin;
}
```

# Pointer Arithmetic: Arbitrary Datatypes

- *sizeof*: size (in bytes) of a type/variable

```
sizeof(int)  
sizeof(struct point)  
sizeof(i)  
sizeof(pi)  
sizeof(pp)
```





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# main() can take parameters

**So far:** `void main(void)`

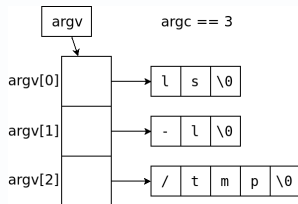
- A process has an *exit status* → implicitly `int main(...)`
- → Compiler magic: `main()` is special
- C++: compiler error if `main()` does not return an `int` Returnwert hat
- No commandline arguments expected → `main(void)`

→ How are commandline arguments passed?

# Commandline Arguments

```
int main(int argc, char **argv)
{
    char *opt = argv[1]; /* "-l" */
    char *dir = argv[2]; /* "/tmp" */
    ...
}
```

ls -l /tmp



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# struct: compound datatypes

**So far** we had ...

- Scalar datatypes: `int`, `float`, ...
- Pointers
- Now for some ... *design*

**Fantasy:**

- Pointers give us power to do more
- How do we build more complex data structures?
  - Linked lists
  - Balanced trees
  - ...





# struct: how?

## Short and to the point ...

```
/* type declaration - no memory set aside */
struct point
{
    int x;
    int y;
};

/* set aside memory for two points */
struct point p1, p2;
```

- *New type:* struct point
- Used just the same as other types

## Operations

- Initialization
- Copy ...
  - Assignment
  - Parameter passing
  - Return from function
- Member access

```
/* initialization */  
struct point p = { 42, 7 };
```

```
/* member access */  
p.x = 1;
```

```
/* assignment */  
p2 = p;
```

# Nested Structures

## Nesting

- Nesting is possible
- But: structures become large through nesting
- *Call-by-value* (and return) makes *copies*!

```
struct rect
{
    struct point p1;
    struct point p2;
};
```

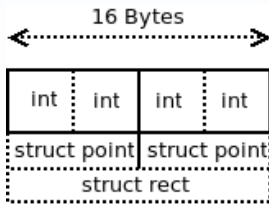


# Memory Layout

## Structure $\iff$ flat memory

- Linear sequence of bytes
- *Copy* (assignment, parameter, return) of memory is made

```
struct rect
{
    struct point p1;
    struct point p2;
};
```



# Recursive Structures?

```
struct xxx
{
    struct xxx x;
};
```

- That particular structure would be infinitely large
- → Compiler error
- → *Pointers*



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# Parameters and Return (1)

## Returning entire structures

### “Constructor”

```
struct point makepoint(int x, int y)
{
    struct point p;

    p.x = x;
    p.y = y;
    return p;
}
```



## Parameters and Return (2)

### Entire structure as parameter

```
struct point addpoints(struct point lhs, struct point rhs)
{
    lhs.x += rhs.x;
    lhs.y += rhs.y;
    return lhs;
}
```

**Question:** does the caller see the modification of lhs?



## Parameters and Return (3)

### Pointers to structures (“call by reference”)

```
void addtopoint(struct point *lhs, struct point rhs)
{
    (*lhs).x += rhs.x; /* precedence! */
    (*lhs).y += rhs.y;
}
```

**Pointers to structures are very common** → shortcut “->”

```
void addtopoint(struct point *lhs, struct point rhs)
{
    lhs->x += rhs.x;
    lhs->y += rhs.y;
}
```



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# Alias for Type Names

## Why?

- Semantics of a type is one story
- Implementation is another story
- Type names can become long

```
typedef unsigned long int uint64_t;  
typedef int pid_t;
```

→ Type name and alias name are equivalent

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# Stack and Global Memory

## Stack

- One *stack frame* per function call
- Local variables live there
- → *Lifetime* is the duration of the function call

## Global memory

- Global Variables
- “Allocated” at program start
- Lifetime: entire program

**What's in between?** → *explicit* lifetime

# Dynamic Memory

## Heap memory

- *Not* part of the core language
- → implemented in the *C library*
- Lifetime is managed by the programmer
  - *Allocation*
  - *Deallocation*

```
#include <stdlib.h>

void *malloc(size_t size);
void free(void *ptr);
```

# Dynamic Memory — Usage

```
struct point *p = malloc(sizeof(struct point));  
do_something_with(p);  
...  
free(p);
```

**New traps:** as always, there is no checking done (as always, this is for performance reasons)

- *Memory leak:* forget to `free()` allocated memory
- `free()` a pointer that does not point to dynamically allocated memory
- `free()` a pointer that has already been deallocated





# Exercises (1)

## Singly linked list: public functions (“methos”)

```
int list_init(struct list *l);
int list_destroy(struct list *l);
int list_insert(
    struct list *l,
    const char *key, struct point p);
unsigned int list_remove(
    struct list *l,
    const char *key);
unsigned int list_count(
    const struct list *l,
    const char *key);
void list_print(
    const struct list *l);
```

## Exercises (2)

### Singly linked list: public data structures

```
#define KEYLEN 31

struct point {
    int x;
    int y;
};

struct list {
    struct node *first;
};
```

# Exercises (3)

## Singly linked list: internals

```
struct node {  
    char key[KEYLEN+1];  
    struct point point;  
  
    struct node *next;  
};
```

# Exercises (4)

- Implement a linked list as has been sketched above

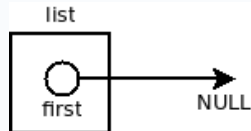


# Exercises (5)

## Empty list

- Result of `list_init()`
- First element is `NULL`

```
struct list {  
    struct node *first;  
};  
...  
l->first = NULL;
```



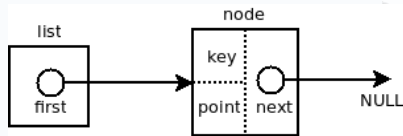


## Exercises (6)

### List containing one element

```
struct node {
    char key[keylen+1];
    struct point point;

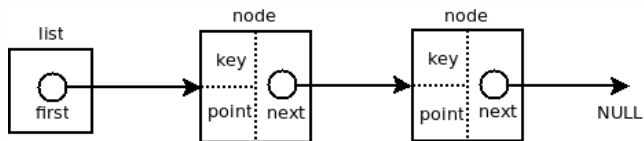
    struct node *next;
};
...
strcpy(n->key, key);
n->point = point;
n->next = NULL;
```





# Exercises (7)

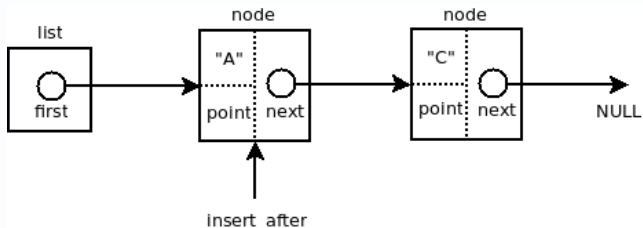
## List containing two elements



# Exercises (8)

## Insertion: looking up the position

Where does "B" belong?



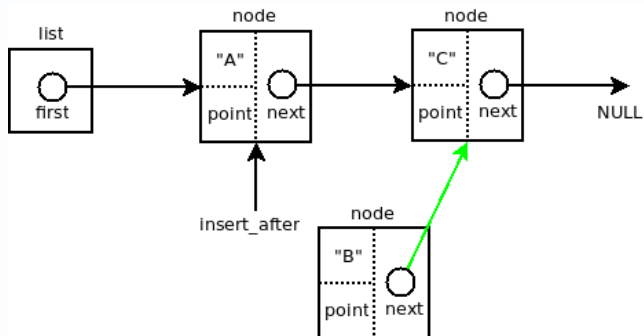


## Exercises (9)

**Insertion:** `new struct node`

- `malloc(sizeof(struct node))`
- Initialization: `key`, `data`, `next`

Where does "B" belong?

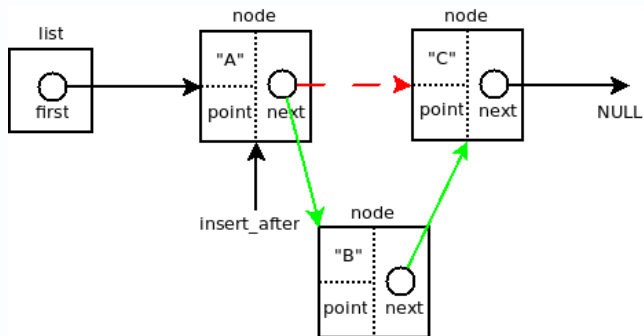




# Exercises (10)

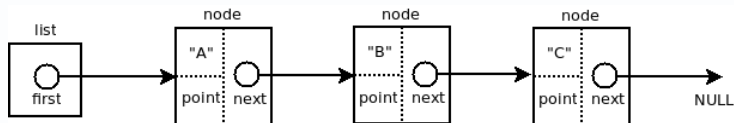
## Insertion: link new node

### Cut old connection



# Exercises (11)

## Insertion: done



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# volatile: The Lie (1)



## What `volatile` does:

- Prevents *compiler* optimization of everything involving the variable declared `volatile`
- Corollary: the variable must not be kept in a register

```
volatile int x;
```

## Attention:

- All it does is provide a false impression of correctness
- **Most of its uses are outright bugs**

## volatile: The Lie (2)

### What volatile doesn't:

- Variable can still be in a cache
  - *Variable is not at all sync with memory* when using *write-back* cache strategy
- Not a memory barrier → load/store reordering still possible (done by CPU, *not by compiler*)
- → *Not a replacement for proper locking*

### Still broken: *load-modify-store*

```
volatile int use_count;

void use_resource(void)
{
    do_something_with_shared_resource();
    use_count++;
}
```

# volatile: Valid Use: Hardware

## Originally conceived for use with hardware registers

- Optimizing compiler would wreak havoc
  - Loops would never terminate
  - Memory locations would not be written to/read from
  - ...

```
volatile int completion_flag;
volatile int out_word;
volatile int in_word;

int communicate(int word)
{
    out_word = word;
    while (!completion_flag);
    return in_word;
}
```



# volatile: Valid Use: Unix Signal Handlers



## A variable might change in unforeseeable ways

- Signal handler modifies `quit` variable
- Optimizing compiler would otherwise make the loop endless

```
volatile int quit;

int main(void)
{
    while (!quit)
        do_something();
}
```

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# Atomic Memory Access

## Why is this code broken for multithreaded programs?

```
volatile int use_count;

void use_resource(void)
{
    do_something_with_shared_resource();
    use_count++;
}
```

## Atomic Memory Access: Load/Modify/Store

## Load-Modify-Store conflict

- Classic form of a *race condition*
- BTW: `volatile` is completely irrelevant!

Thread A		Thread B		
Instr	Loc	Instr	Loc	Glob
load	42			42
	42	load	42	42
inc	43			42
	43	inc	43	42
	43	store	43	43
store	43		43	43



## Load/Modify/Store: Mutex

```
static pthread_mutex_t use_count_mutex =
    PTHREAD_MUTEX_INITIALIZER;
int use_count;

void use_resource(void)
{
    do_something_with_shared_resource();

    pthread_mutex_lock(&use_count_mutex);
    use_count++;
    pthread_mutex_unlock(&use_count_mutex);
}
```

- **Drawback:** mutexes are expensive (→ context switches)



# Atomic Instructions

For simple integers there is a simpler way to atomicity (GCC only)

```
fetch_and_add()
```

```
int use_count;
```

```
void use_resource(void)
```

```
{
```

```
    do_something_with_shared_resource();
```

```
    __sync_fetch_and_add(&use_count, 1);
```

```
}
```

# More GCC “Builtins”

GCC has a sheer number of builtins ...

- Atomic operations
- Arithmetic with overflow checking (built-in “functions” with a “success” return type)
- Pointer bounds checking

Visual C++ also has some builtins (“Intrinsics”), but I don’t know these  
→ check with MSDN

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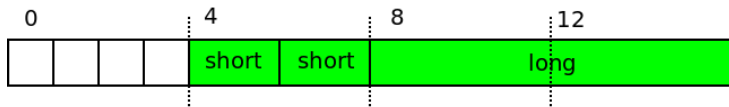


# Data Alignment

**Data alignment:** processors like data items of size  $N$  (a power of two) to exist at base addresses that are a multiple of  $N$

→ **“Natural alignment”**

- A character can exist at any address (no alignment restriction)
- A 16 bit integer (short on most/all architectures) must align to a 2 byte boundary
- Same with 32 bit and 64 bit integers, float and double
- Pointers on a 32 bit architecture must align to a 4 byte boundary
- Pointers on a 64 bit architecture must align to a 8 byte boundary



# Data Alignment, Compilers

- Compilers generally know about a machine's data sizes and alignment requirements
- Variables are placed at addresses that align them naturally
- Not normally a problem during development
- Except ...
  - Mixing 32 bit and 64 bit code (e.g. running a 32 bit executable on a 64 bit OS) → *different pointer sizes*, at least
  - Reading and interpreting binary data from *somewhere*

# Unaligned Data Access (1)



- Split in two memory accesses, combined by arithmetic (shift, bitwise OR)
- Architecture dependent
  - Done in hardware
  - Trap into OS, emulation in software
- Either way: non-negligible performance penalty
- → Play by the rules and just don't do it

**How can I produce an unaligned access?**



## Unaligned Data Access (2)

- The following code is not clean
- Works only because all is done to make unaligned access work

```
char dog[10];  
char *p = &dog[1];  
unsigned long l = *(unsigned long *)p;
```

- Future proof (but no faster) ...

```
char dog[10];  
unsigned long l;  
memcpy(&l, dog+1, sizeof(unsigned long));
```



# Padding (1)

## What happens to structure members?

- No standalone variables which are freely allocated by the compiler
- Compiler is forbidden (per C/C++ standard) to rearrange members of a struct

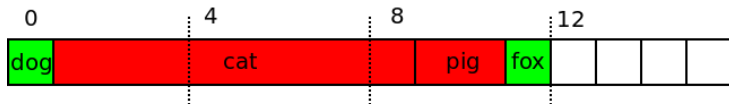
```
struct animals {  
    char dog;           /* 1 byte */  
    unsigned long cat; /* 8 bytes */  
    unsigned short pig; /* 2 bytes */  
    char fox;          /* 1 byte */  
};
```

**How large would this be?**  $1+8+2+1 == 12$ ?



## Padding (2)

```
struct animals {  
    char dog;           /* 1 byte */  
    unsigned long cat; /* 8 bytes */  
    unsigned short pig; /* 2 bytes */  
    char fox;          /* 1 byte */  
};
```



- Quite naive structure layout: **no compiler does this!**
- Can be enforced by compiler specific structure attributes (GCC) or pragmas (Doze) → *Bogus!*

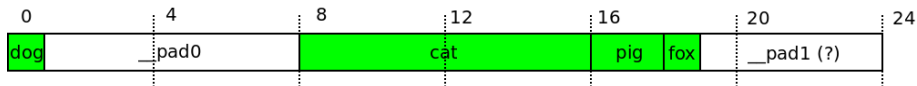


## Padding (3)

### Compiler inserts padding

- Preserves order of members (dictated by law)
- Artificially guarantees aligned access

```
struct animals {  
    char dog;           /* 1 byte */  
    char __pad0[7];    /* 7 bytes */  
    unsigned long cat; /* 8 bytes */  
    unsigned short pig; /* 2 bytes */  
    char fox;          /* 1 byte */  
    char __pad1[5];    /* 5 bytes (?) */  
};
```

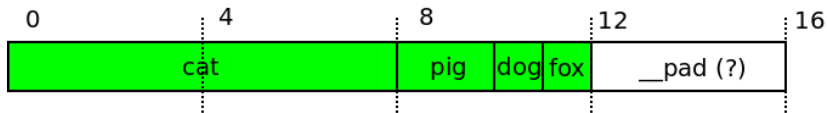




# Padding (4)

- **Bloat** in size
- → Rearrange members manually, ordered by decreasing size/alignment

```
struct animals {  
    unsigned long cat;    /* 8 bytes */  
    unsigned short pig;  /* 2 bytes */  
    char dog;            /* 1 byte */  
    char fox;            /* 1 byte */  
};
```





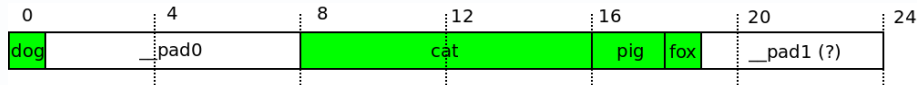
# Structure Alignment (1)

## What is the alignment of a structure?

- Padding is applied inside a structure, to meet alignment requirements of all members
- On what addresses can a structure exist, then?
- $\implies$  On all addresses where the member with the largest alignment can exist
- **Rule:** *The alignment of a structure is the alignment of the largest included type.*
- **Corollary:** *The alignment of a union is the alignment of the largest included type*

## Structure Alignment (2)

### Remember?



- Largest member is cat, 8 bytes  $\implies$  structure's alignment is 8
- If we place the entire structure at address 8, cat is at 16 — which aligns it correctly

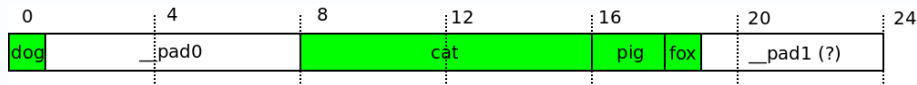
### So, remember:

- **The alignment of a structure is the alignment of the largest included type.**
- **The alignment of a union is the alignment of the largest included type.**



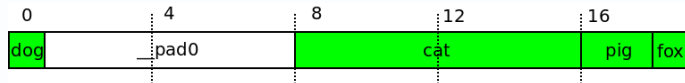
# And Arrays? (1)

## Correctly Aligned



- There is a padding of 5 bytes at the end of the structure
- If we omit it, the alignment is not changed — only the structure becomes smaller in size (19 bytes, which is not only odd but prime)

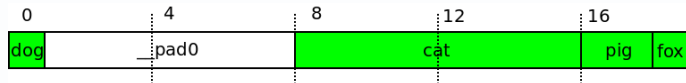
## Bogus, no padding at end





## And Arrays? (2)

### Prime-Aligned Structure



- This structure is clearly not suited for arrays
- Nearly all members of the second array element would be misaligned
- Would it suffice to end-pad the structure so its entire size is a multiple of 4?



## And Arrays? (3)

- Would it suffice to end-pad the structure so its entire size is a multiple of 4 (and not 8)?
- → No: the cat member of the second array element would then be misaligned

So, remember:

- **The size of a structure is a multiple of the alignment of the largest included type.**
- **The alignment of an array is the alignment of its base type.**

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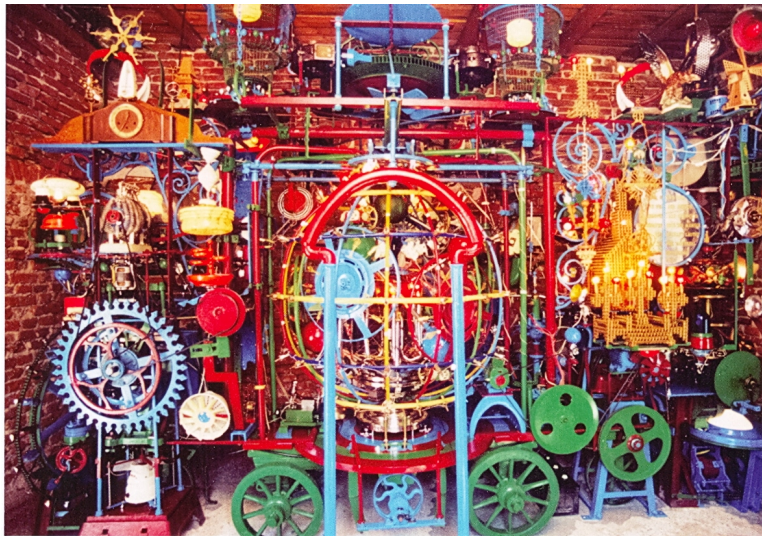
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# Shooting Offense: Unobvious Solution





# Shooting Offense: Unobvious Problem



# Shooting Offenses

**We are all mature programmers**, and we all know that some oddities are best rectified by firing the programmer

- Unexpected side effects
- Wrong documentation (none is far better)
- Nested loops to a depth of 10
  - With loop variables taken from somewhere in the middle of the alphabet (Fortran?)
- Obvious lazyness
- Obvious lack of respect for colleagues



# Ambiguity

**Ambiguity** is the root of all evil. Imagine ...

- 1 You have to take over maintenance of a large piece of code
- 2 → you have to understand it
- 3 You cannot guess from its name what a function does
- 4 Same with variables
- 5 Same with parameters
- 6 Return values have no obvious meaning
- 7 There are comments all over, obviously meant to overcome those shortcomings
- 8 Comments are mostly out-of-sync with the code

→ *This will drive you mad!*

(... especially if it's your own code)

# Readability

**Simple recipe for writing good code:** you are able to understand what you did, even after three weeks of holiday/beer

- Chances are others will understand the code too
- Requires some discipline
- → Handcraft?



# Code Smells

**Code smells** for the following reasons

- *Comments* that explain how the code works
- *Long parameter lists*
- *Long nested if/else chains*
- *Hungarian notation*
- ... and many more

We won't elaborate on that — this is not a programming course

Following a series of easy techniques to make C code readable/correct

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# Standard Data Types: `size_t` (1)

## Sizes are everywhere

- Number of bytes in an allocated chunk of memory
- Number of elements in an array
- Number of microseconds until timer runs off
- Result of the `strlen()` function
- Result of the `sizeof` operator

*This is what `size_t` is there for:*

- Nobody has to worry about signedness (sizes simply don't become negative)
- → adds clarity

```
#include <unistd.h>
```

## Standard Data Types: `size_t` (2)

### Consequences

- None (except for readability)
- (GCC) `-Wsign-compare`, `-Wtype-limits`, ...
  - *lots* of warnings when mixing
  - consider `-Wextra`
- → *Correctness* (up to a certain extent)

### Find at least two Bugs!

```
size_t sum(int set[], size_t size)
{
    size_t sum = 0;
    while (size-- >= 0)
        sum += set[size];
    return sum;
}
```



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# Discrete Values

Many times an integer's value does not take the full possible range →  
Discrete values

- Command identifiers (e.g. Unix `ioctl`'s)
- Possible baud rates on a UART
- A state machine's state

# Discrete Values — Traditional Approach (1)



```
#define IDLE 0
#define WRITING_REQUEST 1
#define READING_RESPONSE 2
#define WAIT_RETRY 3

struct protocol_engine
{
    int state;
    ...
};
```

## Traditional approach

- Declare a set of symbolic macros
- Let an integer carry one of these values

## Drawback

- One cannot deduce valid values from looking at the type

# Discrete Values — Traditional Approach (2)



```
switch (engine->state) {
  case IDLE: ...;
  case WRITING_REQUEST: ...;
  case READING_RESPONSE: ...;
  case WAIT_RETRY: ...;
  default:
    error("bad state");
    break;
}
```

- switch is *the* statement for discrete values
- As everybody knows: default is obligatory

## Questions

- Bad state? Why? How can this happen?
- The switch handles every possible value anyhow
- ... so why have a default?

# Discrete Values — Wishlist



## Wishlist:

- ❶ The value of a state is pointless. I don't want to think about it. I.e., `WRITING_REQUEST == 1` for no reason.
- ❷ Separate type for a state, for
  - Readability
  - Type safety (to prevent mixing with e.g. integers)
- ❸ Compiler support in `switch` like, “forgot to add case label for newly introduced state”.

→ Fully met in C++, *only partly met* (“Type safety”) in C

# Discrete Values — enum

```
enum state
{
    IDLE,
    WRITING_REQUEST,
    READING_RESPONSE,
    WAIT_RETRY
};

struct protocol_engine
{
    enum state state;
    ...
};
```

## Advantage:

- **Wishlist item #1 and #2**
- Separate type
- Unambiguous when reading the code

## But:

- The following is legal in C
- *Illegal in C++*

```
enum state s = 42;
```

# Discrete Values — enum and switch

**Wishlist item #3:** “forgot to add case label for newly introduced state”

## Adding New State

```
enum state
{
  IDLE,
  WRITING_REQUEST,
  READING_RESPONSE,
  WAIT_RETRY,
  /* Error handling */
  PROTOCOL_ERROR
};
```

- State machines change
- E.g. towards the end of the project everybody wants error handling
- → Code needs to react upon the new state

# Discrete Values — enum and switch



```
switch (engine->state) {
  case IDLE: ...;
  case WRITING_REQUEST: ...;
  case READING_RESPONSE: ...;
  case WAIT_RETRY: ...;
  default:
    error("bad state");
    break;
}
```

## “default:” considered harmful

- Eats all new states
- → *prevents the compiler from helping me*



# Discrete Values — Close to Perfection



```
switch (engine->state) {  
    case IDLE: ...;  
    case WRITING_REQUEST: ...;  
    case READING_RESPONSE: ...;  
    case WAIT_RETRY: ...;  
    /* no default here! */  
}
```

- GCC (at least) can warn about such cases
- `-Wswitch-enum`

```
$ gcc -Wswitch-enum ...  
warning: enumeration value 'PROTOCOL_ERROR' not handled ...  
$ gcc -Werror -Wswitch-enum ...  
error: enumeration value 'PROTOCOL_ERROR' not handled ...
```

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# Visibility

**Compilation unit:** the entity seen by *one* compiler call

- The C file that is being compiled
- All included header files
- Result is usually one object file

**Symbol resolution:**

- By compiler inside *one* compilation unit
- By linker *across multiple* compilation units
  - Among symbols that the linker sees

## A Somewhat Contrived Example (1)

Two compilation units linked into an executable ...

### main.c

```
#include <stdio.h>

extern float avg(
    int *begin, int *end);

int main(void)
{
    int array[] =
        { 1, 2, 3, 4, 5 };
    printf("%f\n",
        avg(array, array+3));
    return 0;
}
```

### avg.c

```
int sum(int *begin, int *end)
{
    int sum = 0;
    while (begin < end)
        sum += *begin++;
    return sum;
}

float avg(int *begin, int *end)
{
    return
        (float)sum(begin, end) /
        (end-begin);
}
```

## A Somewhat Contrived Example (2)

### Function `sum()` in `avg.c` is globally visible

- Anybody could *declare* it and use it
  - Linker will resolve it (that's his job)
- Name could clash with another symbol in another compilation unit
  - Linker error (“duplicate symbol”) when linking statically
  - Subtle bug when using shared libraries
- Innocent reader has to think twice
  - “Can I modify the function without telling anybody?”

→ **Ambiguity** that needs resolution!

# The static Keyword (Hooray!)

**Solution:** `static` — restrict visibility to the compilation unit

`avg.c`

```
static int sum(  
    int *begin, int *end)  
{  
    int sum = 0;  
    while (begin < end)  
        sum += *begin++;  
    return sum;  
}
```

- Nobody has to think twice
- Nobody can use `sum` but the file it is defined in
- No name clashes
- **No ambiguity!**
- **Only readability!**
- Compiler can automatically inline the function
- ... with only 6 characters of effort

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# Non-Modifiable Memory (1)

## Did you know the difference?

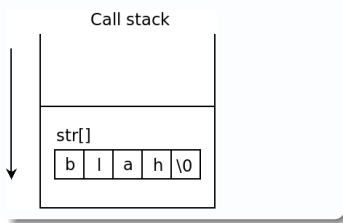
```
void f(void)
{
    char str[] = "blah";
    str[0] = 'x';
}
```

```
void f(void)
{
    char *str = "blah";
    str[0] = 'x';
}
```



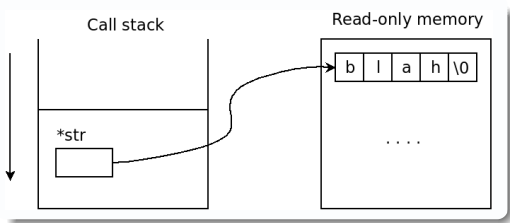
## Non-Modifiable Memory (2)

```
char str[] = "blah";
```



- Array initialization
- Allocated on the *stack*, at *runtime*
- → *writable*

```
char *str = "blah";
```



- Allocated in *read-only memory*, at *compilation time*
- Pointer setup *at runtime*, to point there
- → *not writable*

# The const Keyword (1)

So there is already the concept of read-only data ...

- Sadly compilers generally issue no warnings
- (On Linux) Not an error, only on-demand duplication of a *shared* read-only memory page
- → *expensive*
- Unintended in most cases

```
$ gcc -Wwrite-strings ...  
warning: initialization discards 'const' qualifier from  
pointer target type
```

**A-ha:** “const” qualifier!

## The const Keyword (2)

warning: initialization discards 'const' qualifier from pointer target type

- `char *str = "blah";`
- Obviously (no surprise) the compiler knows that "blah" is in read-only memory
- → String literals are `const char *`

```
const char *str = "blah";
```

### Consequences:

- `str` cannot be written to
- → Code has to be fixed until compiler is happy
- → **Correctness** with minimal effort



# const Variables

Getting rid of the preprocessor (good idea) ...

```
const int MAX_BUCKETS = 64;
```

... is the same, compiler-wise, as ...

```
#define MAX_BUCKETS 64
```

## Additional benefits ...

- MAX\_BUCKETS has a type
- Not a stupid string substitution, but a regular C identifier
- “unused” warnings

# const Parameters (1)

```
int sum(int *begin, int *end);
```

**Reading this declaration, we assume the following:**

- It builds a sum
- It returns the result
- It operates on a range [begin, end)
- It does not modify the input data

**Ambiguity alert:**

- We can say nothing of the above for sure
- ... but we can help with the last item

## const Parameters (2)

```
int sum(const int *begin, const int *end);
```

Now we can say one thing for sure:

- It does not modify the input data

Consequences:

- `sum()` has to be modified
- Not a big deal when only a few lines are involved
- Can be a problem when code is large and complex
- → “const **pollution**”

# Pointers, Pointers, Pointers ... (1)

## What's known so far:

- const can be applied to scalar types
- const can be applied to struct types (we don't know this, but it's a logical consequence)
- const, applied to pointers, keeps me from modifying what they point to

```
const int i;  
int const j; /* same! */  
const int *pi = &i;  
int const *pj = &j;
```



## Pointers, Pointers, Pointers ... (2)

### Mixing ...

```
int const i = 42;  
int *pi = &i;
```

```
warning: initialization discards 'const' qualifier  
from pointer target type
```

- `pi` does not promise to *not modify* the value it points to
- Pointee is *read-only*
- Sadly this can only be a warning for historical reasons



## Pointers, Pointers, Pointers ... (3)

So, given that ...

```
int const i;
```

... is a read-only variable, ...

```
int * const pi;
```

... is a read-only variable:

- A pointer that cannot be modified
- But can be used to modify what it points to (it's an `int`, not an `int const`)

# Pointers, Pointers, Pointers ... (4)

```
int * const pi;  
/* error: assignment of read-only variable 'pi' */  
pi = NULL;  
/* ok, compiles */  
*pi = 42;
```



*But is this correct?*

# Pointers, Pointers, Pointers ... (5)

## So what's this?

```
int i = 42
int const * const pi = &i;

/* error: assignment of read-only variable 'pi' */
pi = NULL;

/* error: assignment of read-only location '*pi' */
*pi = 42;
```



# Pointers, Pointers, Pointers ... (6)

## How about pointers that point to pointers?

```
int i = 42;  
int *pi = &i;  
int **ppi = &pi;
```

```
**ppi = 7;  
*ppi = NULL;
```



# Pointers, Pointers, Pointers ... (7)

**How about pointers that point to pointers that point to const?**  
 (Gosh)

```
int const i = 42;
int const *pi = &i;
int const **ppi = &pi;
```

```
ppi = NULL;
*ppi = NULL;
/* error: assignment of read-only location '**ppi' */
**ppi = 7;
```



# Pointers, Pointers, Pointers ... (8)

How about pointers that point to non-modifiable pointers that point to const?

```
int const i = 42;
int const * const pi = &i;
int const * const *ppi = &pi;
```

```
ppi = NULL;
/* error: assignment of read-only location '*ppi' */
*ppi = NULL;
/* error: assignment of read-only location '**ppi' */
**ppi = 7;
```



# Pointers, Pointers, Pointers ... (9)

**How about ...?** (To be continued)

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# Good Old Struct Initialization

```
struct person
{
    char fn[16];
    char ln[16];
    int age;
    int height;
};
struct person me = { "Joerg", "Faschingbauer", 50, 172 };
```

## As always: Ambiguity

- One can only guess as to what the initializer means
  - Imagine somebody's name is "Beman Dawes"
  - age? height? Or is it weight?
- Have to lookup the definition of `struct person`



# C99 “Designated Initializer”

```
struct person me = {  
    .fn = "Joerg",  
    .ln = "Faschingbauer",  
    .age = 50,  
    .height = 172  
};
```

## Consequences:

- A couple more characters of typing
- Safety: when member names (semantics?) change, the compiler *forces* checking
- Clarity

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# Integer Types Are Ambiguous

## Using integers as parameters and return types obfuscates code

- Conversions happen automatically, without any notice by the compiler
- Worse: their semantics is not always clear
  - `size_t` helps to a certain extent
- Even more worse:
  - Mixing integers with different semantics
  - Changing semantics → **no** help by compiler

**Example:** error handling ...

## Example: Ambiguous Error Schemes (1)

Returns a “signed size type”: **negative on error**, size written **otherwise** (Unix tradition: waste half of the domain for an occasional -1):

```
ssize_t send_frame(  
    struct protocol_engine *eng,  
    const struct frame *f);
```

**Always returns a valid sum:**

```
int sum(const int *begin, const int *end);
```

## Example: Ambiguous Error Schemes (2)

### Automatic Conversion Massacre

```
unsigned int send_sum(  
    struct protocol_engine *engine,  
    const int *begin, const int *end)  
{  
    struct frame f;  
    int retval = sum(begin, end);  
  
    f.type = INT32;  
    f.v_int32 = retval;  
    retval = send_frame(engine, &f);  
  
    return retval;  
}
```

## Example: Ambiguous Error Schemes (3)

### What are we trying to accomplish?

- `int sum()`: ok; sum of integers is an integer
  - Should think of overflow (gosh)
- `ssize_t send_frame()`: ok, but uses weird Unix style error reporting.
- `unsigned int send_sum()`: combines these in a spectacular way, and returns an application defined error number (0 for ok).

Imagine for a moment that there is one programmer who is able to code such crap ...

- Compiler happily converts between all these different integer types
- → Hell will break loose sooner or later

# Artificial Integer Type safety

## Passing struct By-Value

```
struct point
{
    int x, y;
};
struct point addpoints(struct point lhs, struct point rhs);
```

struct **assignment only possible on equally typed values**

- Mixing impossible
- Why not wrap our integer error codes in structs of adequate type?



# Error Schemes, Revisited

## What was our problem?

- Unix system calls have that weird “-1 on failure, examine global `errno` variable if so” scheme
  - Valid `errno` errors are always  $>0$
- Application-defined unsigned `int` errors otherwise
- Mixing is prevented only by coding *very* carefully

## Proposed solution: two dedicated error types ...

- `struct unix_error`, encapsulating a Unix error
- `struct app_error`, encapsulating the application's own error values

# Error Schemes: Encapsulating Unix Details

## Sketch: Definition of `unix_error`

```
struct unix_error
{
    int errno;
};

static inline struct unix_error unix_error_create(int errno)
{
    struct unix_error e;
    e.errno = errno;
    return e;
}

static inline int unix_error_ok(struct unix_error e)
{
    return e.errno == 0;
}
```

# Error Schemes: Using Encapsulated Stuff

## Sketch: Usage of Type Safe Errors

```
struct app_error send_sum(  
    struct protocol_engine *engine,  
    const int *begin, const int *end)  
{  
    struct unix_error uerr;  
    ...  
    uerr = send_frame(engine, &f);  
    if (!unix_error_ok(uerr))  
        return app_error_create(APP_OS_ERROR);  
    ...  
}
```

# Wrap-Up: Artificial Type Safety

## Good news:

- It is possible to write entirely type safe code in C
- Using the right measures (inlining, small structs), no performance impact
- Greatly enhances maintainability

## Bad news:

- A lot of explicit typing
- C++ can do the same with overloading and much less typing

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# Valgrind

## Valgrind: debugging at its best (because it is so simple)

- Main target: memory errors
- Writing and reading beyond array bounds
- Usage of uninitialized memory
- Double free/delete
- Memory leaks

**Drawback:** considerable execution slowdown →

- Race conditions not easily debugged
- Multithreading is hard generally
- Larger programs are not easily emulated → smaller test suites that are regularly checked with valgrind



# Valgrind in Action (1)

There are bugs that cannot be found because they

- *almost* never occur
- *almost* never are visible
- Cannot be reproduced in tests programs
- ...

## Find the Bug!

```
#include <stdlib.h>
void main(void)
{
    char *bug = malloc(64);
    bug[64] = '\0';
}
```



## Valgrind in Action (2)

### valgrind at Bug Search

```
$ valgrind ./a.out
```

```
...
```

```
Invalid write of size 1
```

```
    at 0x400552: main (array-bounds-write.c:5)
```

```
Address 0x51bb072 is 0 bytes after a block of size 50
```

```
    at 0x4C28C6D: malloc (vg_replace_malloc.c:236)
```

```
    by 0x400545: main (array-bounds-write.c:4)
```

```
...
```





## Valgrind in Action (3)

### Memory leak

```
$ valgrind --leak-check=full ./a.out
...
50 bytes in 1 blocks are definitely lost in loss rec..
   at 0x4C28C6D: malloc (vg_replace_malloc.c:236)
   by 0x400545: main (array-bounds-write.c:4)
...
```

→ *very helpful!*



# Valgrind: more ...

## Uncovers many more types of errors:

- Usage of uninitialized variables
- *Deallocation errors* (`free/delete/delete[]`)
- Erroneous system call usage
- ...

## More information:

- `valgrind.org`
- `man valgrind` (as always)

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# Optimization — Introduction

## General Rules ...

- Focus on clean design → efficiency follows
- Optimization near the end of the project
- Proven hotspots need optimization
- *Proof through profiling*

“Premature optimization is the root of all evil”

**Donald E. Knuth**

# Compute Bound or IO Bound? (1)

## Decide whether, what and how to optimize!

- Collect representative input data
- Why does the program take long?
- Where does it spend most of its time?
  - Userspace: this is where computation is generally done
  - Kernel: ideally very little computation

# Compute Bound or IO Bound? (2)

## Checksumming From An External USB Disk

```
$ time sha1sum 8G-dev.img.xz > /dev/null
real 0m38.879s
user 0m3.349s
sys 0m0.375s
```

- real: total perceived run time (“wall clock time”)
- user: total CPU time spent in userspace
- sys: total CPU time spent in kernel

**Here:** user + sys is *far less* than real → mostly IO

# Compute Bound or IO Bound? (3)



## Checksumming From Internal SSD

```
$ time sha1sum 01\ -\ Dazed\ and\ Confused.mp3 1>/dev/null
```

```
real 0m0.128s
```

```
user 0m0.107s
```

```
sys 0m0.018s
```

**Here:** user + sys is *roughly equal* to real

- Almost no IO
- → Compute bound



# What to do Next?

## Now that we know that our application is compute bound ...

- See where it spends most of its time → *profiling*
- Decide whether optimization would pay off
- Understand what can be done
- Understand optimizations that compilers generally perform



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# Many Ways of Optimization

## There are many ways to try to optimize code ...

- Unnecessary ones
- Using better algorithms (e.g. sorting and binary search)
- Function call elimination (inlining vs. spaghetti)
- Loop unrolling
- Strength reduction (e.g. using shift instead of mult/div)
- Tail call elimination
- ...

# Unnecessary Optimizations

```
if (x != 0)
  x = 0;
```

- The rumour goes that this is not faster than unconditional writing
- Produces more instructions, at least



# Inlining (1)

## Facts up front:

- Function calls are generally fast
- A little slower when definition is in a shared library
- Instruction cache, if used judiciously, makes repeated calls even faster
- But, as always: it depends

## Possible inlining candidate

```
int add(int l, int r)
{
    return l + r;
}
```

# Inlining (2)

## A couple rules

- Always write clear code
- Never *not* define a function because of performance reason
  - *Readability first*
  - Can always inline later, during optimization
- Don't inline large functions → instruction cache pollution when called from different locations
- Use `static` for implementation specific functions → compiler has much more freedom

# Inlining (3)

## GCC ...

- Does not optimize by default
- Ignores explicit `inline` when not optimizing
- `-finline-small-functions` (enabled at `-O2`): inline when function call overhead is larger than body (even when not declared inline)
- `-finline-functions` (enabled at `-O3`): all functions considered for inlining → heuristics
- `-finline-functions-called-once` (enabled at `-O1`, `-O2`, `-O3`, `-Os`): all static functions that ...
- More → `info gcc`

# Register Allocation (1)

- Register access is orders of magnitude faster than main memory access
  - → Best to keep variables in registers rather than memory
- CPUs have varying numbers of registers
  - `register` keyword should not be overused
  - Ignored anyway by most compilers
- Register allocation
  - Compiler performs flow analysis
  - Live vs. dead variables
  - “Spills” registers when allocation changes

**Compiler generally makes better choices than the programmer!**



# Register Allocation (2)

## GCC ...

- `-fira-*` (for Integrated Register Allocator)
- RTFM please
- A *lot* of tuning opportunities for those who care



# Peephole Optimization

- **Peephole:** manageable set of instructions; “window”
- Common term for a group of optimizations that operate on a small scale
  - Common subexpression elimination
  - Strength reduction
  - Constant folding
- Small scale → “basic block”

# Peephole Optimization: Common Subexpression Elimination



Sometimes one writes redundant code, in order to not compromise readability by introducing yet another variable ...

```
a = b + c + d;  
x = b + c + y;
```

This can be transformed to

```
tmp = b + c; /* common subexpression */  
a = tmp + d;  
x = tmp + y;
```

# Peephole Optimization: Strength Reduction

Most programmers prefer to say what they mean (fortunately) ...

```
x = y * 2;
```

The same effect, but cheaper, is brought about by ...

```
x = y << 1;
```

If one knows the “strength” of the operators involved (compilers tend to know), then even this transformation can be opportune ...

```
x = y * 3; /* y*(4-1) == y*4-y */  
x = (y << 2) - y;
```

# Peephole Optimization: Constant Folding

Another one that might look stupid but readable ...

```
x = 42;  
y = x + 1;
```

... is likely to be transformed into ...

```
x = 42;  
y = 43;
```

Consider transitive and repeated folding and propagation → pretty results



# Loop Invariants

The following bogus code ...

```
while (1) {  
    x = 42; /* loop invariant */  
    y += 2;  
}
```

... will likely end up as ...

```
x = 42;  
while (1)  
    y += 2;
```

At least with a minimal amount of optimization enabled (GCC:  
-fmove-loop-invariants, enabled with -O1 already)

# Loop Unrolling

If a loop body is run a known number of times, the loop counter can be omitted.

```
for (i=0; i<4; i++)  
    dst[i] = src[i];
```

This can be written as

```
dst[0] = src[0];  
dst[1] = src[1];  
dst[2] = src[2];  
dst[3] = src[3];
```

- *Complicated heuristics*: does the performance gain outweigh instruction cache thrashing?
- → I'd keep my fingers from it!

# Tail Call Optimization

```
int f(int i)
{
    do_something(i);
    return g(i+1);
}
```

- `g()` is called *at the end*
- `f()`'s stack frame is not used afterwards
- **Optimization:** `g()` can use `f()`'s stack frame



# CPU Optimization, Last Words

## Once more: **Write clean Code!**

- All optimization techniques explained are performed *automatically*, by the compiler
- Theory behind optimization is well understood → engineering discipline
- Compilers generally perform optimizations better than a programmer would
  - ... let alone portably, on different CPUs!
- “Optimization” is a misnomer → “Improvement”
  - Compiler cannot make arbitrary code “optimal”
  - Bigger picture is always up to the programmer
  - → Once more: **Write clean Code!**
- Work together with compiler → use `static`, `const`

# GCC: Optimization “Levels”

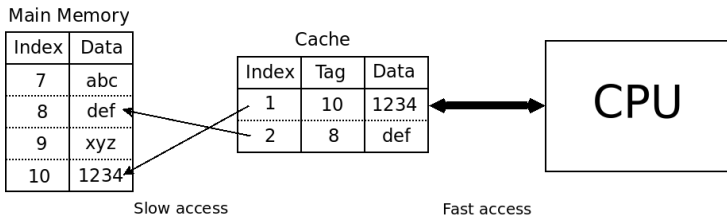
- `-O0`: optimization off; the default
- `-O1`: most basic optimizations; does as much as possible without compromising compilation time too much
- `-O2`: recommended; does everything which has no size impact, is unaggressive, and doesn't completely chew compilation time
- `-O3`: highest level possible; somewhat aggressive, can break things sometimes, eats up your CPU and memory while compiling
- `-Os`: optimize for size; all of `-O2` that doesn't increase size
- `-Og` (since GCC 4.8): “developer mode”; turns on options that don't interfere with debugging or compilation time

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# Memory: Caches

- Access to main memory is slow
- CPU memory cache to speed access up by magnitudes
- Organized in *cache lines* (~512 bytes each)
- Cache hierarchies



# Locality of reference

## Rules to keep caches hot

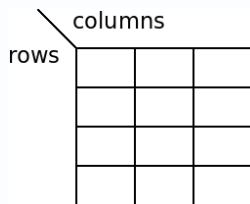
- Group data together, so that nearby data is in the same cache line
- Use contiguous memory where possible; for example
  - Aggregation of structures
  - Sequential access in large (multidimensional?) arrays
  - Sorted arrays rather than fragmented tree structures
- Take care that data does not bounce back and forth between cache and main memory (“cache thrashing”)
- → **Locality of reference**

# Multidimensional Arrays

## C Array Definition

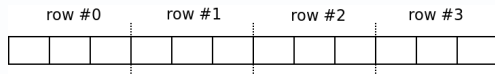
```
int array[4/*rows*/][3/*columns*/];
```

### 4x3 Matrix



Conceptually, a  
rectangular matrix

### Memory layout

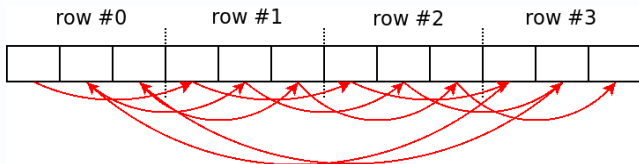


Physically, a linear array

# Multidimensional Arrays: Cache Thrashing

- Traversing the matrix columns-first is correct
- ... but not efficient

```
for (j=0; j<rows; j++)  
  for (i=0; i<columns; i++)  
    access(array[i][j]);
```





# Multidimensional Arrays: Forward Indexing

- Always traverse array *row-first*
- “Forward indexing”
- Best **Locality of reference**

```
for (i=0; i<rows; i++)  
  for (j=0; j<columns; j++)  
    access(array[i][j]);
```





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## Profiling — Famous Words

“Premature optimization is the root of all evil”

**Donald E. Knuth**

“Premature optimization is the root of all evil”

**Tony Hoare**

“Optimizations always bust things, because all optimizations are, in the long haul, a form of cheating, and cheaters eventually get caught.”

**Larry Wall**

“Measurement is a crucial component of performance improvement since reasoning and intuition are fallible guides and must be supplemented with tools like timing commands and profilers.”

**The Practice of Programming, Brian W. Kernighan and Rob Pike**

# Profiling — Introduction

## General Rules ...

- Focus on clean design → efficiency follows
- Optimization near the end of the project
- Proven hotspots need optimization
- *Proof through profiling*

## How? On Linux ...

- gprof: compile time code instrumentation, single program
- valgrind --tool=callgrind: emulation
- oprofile: no instrumentation, global system view

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# gprof — How it Works

## How does it work?

- *Compiler* inserts hooks into each function → counts number of calls
- *Signal handler* runs periodically to gather statistic information about each call

## Compiler and Linker Calls

```
$ gcc -pg -c -o program.o program.c
$ gcc -pg -g -c -o program.o program.c # debug info
$ gcc -pg -o program program.o
```

- Running program creates a file `gmon.out` *in the current working directory*
- Interpreted by `gprof`

# Using gprof

## Basic Usage

```
$ gprof program gmon.out
```

```
...
```

Prints plenty of information

- *Flat profile*: (sorted) list of functions and their numbers. Good to initially find out about the hot spots.
- *Call graph*: node-by-node listing of call graph
- *Explanations* of both (suppress with `--brief`)

# gprof: Flat Profile

```
$ gprof --brief --flat-profile program gmon.out
% cumulative self self total
time seconds seconds calls us/call us/call name
89.96 1.02 1.02 38000000 0.03 0.03 contains
9.80 1.13 0.11 1000000 0.11 1.10 find_duplicat
```

- % time: percentage of entire runtime, including called subroutines
- cumulative seconds: same in seconds
- self seconds: time consumed by *the function alone*. The most valuable information → primary sorting criterion
- calls: total number of calls



# gprof: Call Graph

```
$ gprof --brief --graph program gmon.out
```

```
index % time      self  children  called      name
...
-----
          0.11    0.99 1000000/1000000    main [1]
[2]    97.6    0.11    0.99 1000000    find_duplicates [2]
          0.99    0.00 37000000/38000000    contains [3]
-----
...
```

- The index line is the *center* (what the node is about)
- Lines above: callers
- Lines below: callees
  - 3/291: 291 total calls, 3 attributed to one particular caller

# gprof: Interpreting The Results

- ① Identify hot spots
  - *Flat profile* gives the hot spots → self seconds is the primary criterion
  - Scripting always possible if more is wanted
- ② *Call graph* starting at the hot spots

```
$ gprof --brief --graph -f contains program gmon.out
...
index % time  self children    called      name
          0.00   0.00 1000000/38000000  main (6)
          0.00   0.00 37000000/38000000  find_duplicates
[1]      0.0    0.00   0.00 38000000          contains [1]
...
```

# gprof: Visualization

- One wishes that can be visualized
- We have no such luck

```
$ gprof program gmon.out | \
  gprof2dot | \
  dot -Tjpeg | \
  display -
```



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# callgrind — How it Works

## How does it work?

- valgrind: run-time code instrumentation
- callgrind is a “tool” using valgrind infrastructure
- Call-graph analysis, optional cache and branch-prediction analysis

## Compared to good old gprof ...

- Sluggishly slow (the price of run-time instrumentation)
- More accurate
- Nice graphical tool → kcachegrind

# callgrind — How it is Used

## Compiler and Linker Calls

```
$ gcc -c -o program.o program.c
$ gcc -g -c -o program.o program.c # debug info
$ gcc -o program program.o
```

- No compiler attention needed
- Debug information only for source annotation (→ kcachegrind)

## Producing Output: callgrind.out.<pid>

```
$ valgrind --tool=callgrind ./program
...
$ ls callgrind.out.*
callgrind.out.16761
```

# callgrind — Analysis Per Commandline

**Basically records the same information as gprof**

- Flat profile
- Call Graph
- All sorts of counters (can detect cache misses etc.)

**Most basic analysis tool:** `callgrind_annotate`

```
$ callgrind_annotate callgrind.out.16761  
... unreadable but informative garbage ...
```

## callgrind — Analysis With kcachegrind

callgrind.out.16761 [Linux]

File View Go Settings Help

Open Back Forward Up Down % Relative Cycle Detection Relative to Parent Shorten Templates Cycle Estimation

Flat Profile

Search: (No Grouping)

Incl.	Self	Called	Function	Location
07.00	07.00	3 700 000	contains	a.out: stupid.c
99.61	12.61	100 000	find_duplicates	a.out: stupid.c
99.97	0.36	1	main	a.out: stupid.c
0.02	0.01	4	0x000000000000ad0b0	ld-2.19.so
0.01	0.01	96	0x0000000000008e490	ld-2.19.so
0.01	0.00	86	0x000000000000095c0	ld-2.19.so
0.00	0.00	186	0x00000000000017be0	ld-2.19.so
0.00	0.00	90	0x00000000000008ba0	ld-2.19.so
0.00	0.00	9	0x000000000000189e0	ld-2.19.so
0.00	0.00	6	__vfprintf	libc-2.19.so
0.00	0.00	2	0x00000000000005d60	ld-2.19.so
0.00	0.00	1	0x0000000000000ffaf0	ld-2.19.so
0.02	0.00	1	0x00000000000001e90	ld-2.19.so
0.00	0.00	1	0x0000000000000c360	ld-2.19.so
0.00	0.00	36	0x0000000000000ff000	ld-2.19.so
0.00	0.00	2	0x00000000000015e90	ld-2.19.so
0.00	0.00	12	0x00000000000014bb0	ld-2.19.so
0.00	0.00	18	__io_file_seek	libc-2.19.so
0.00	0.00	4	0x00000000000009ab0	libc-2.19.so
0.02	0.00	1	0x00000000000004780	ld-2.19.so
0.00	0.00	9	0x00000000000018350	ld-2.19.so
0.00	0.00	19	__libc_memalign	ld-2.19.so
0.00	0.00	3	0x0000000000000a780	ld-2.19.so
0.00	0.00	10	0x000000000000017f0	ld-2.19.so
0.02	0.00	1	0x00000000000015220	ld-2.19.so
0.00	0.00	3	0x0000000000000d730	ld-2.19.so
0.00	0.00	2	0x000000000000157e0	ld-2.19.so
0.00	0.00	1	0x00000000000014c90	ld-2.19.so
0.00	0.00	2	0x00000000000005220	ld-2.19.so
0.00	0.00	1	0x000000000000114c0	ld-2.19.so
0.00	0.00	9	0x00000000000018c20	ld-2.19.so
0.00	0.00	1	0x0000000000000e4f0	ld-2.19.so
0.00	0.00	3	0x0000000000000d680	ld-2.19.so
0.00	0.00	3	0x0000000000000ddee0	ld-2.19.so
0.00	0.00	12	strchrnul	libc-2.19.so
0.00	0.00	2	0x000000000000074650	ld-2.19.so
0.00	0.00	6	__io_file_write	libc-2.19.so
0.00	0.00	8	__io_file_overflow	libc-2.19.so
0.00	0.00	11	0x00000000000008550	ld-2.19.so
0.00	0.00	6	0x000000000000046170	ld-2.19.so
0.00	0.00	1	0x0000000000000ac70	ld-2.19.so
0.00	0.00	3	0x0000000000000ff0e0	ld-2.19.so
0.00	0.00	5	0x000000000000179c0	ld-2.19.so
0.00	0.00	4	0x0000000000000ea40	ld-2.19.so
0.00	0.00	1	0x00000000000021550	ld-2.19.so
0.00	0.00	5	0x000000000000189f0	ld-2.19.so
0.00	0.00	6	printf	libc-2.19.so
0.00	0.00	1	0x000000000000074ab0	ld-2.19.so
0.00	0.00	3	0x0000000000000e920	ld-2.19.so
0.00	0.00	3	0x0000000000000ba9f0	ld-2.19.so
0.00	0.00	1	0x0000000000000778f0	ld-2.19.so

contains

#	CEst	CEst	Source
2			#include <stdio.h>
3			
4			int contains(int key, const int *begin, const int *end)
5	4.45	4.45	{
6	28.33	28.33	while (begin < end)
7	51.76	51.76	if (*begin++ == key)
8	0.58	0.58	return 1;
9	0.60	0.60	return 0;
10	1.78	1.78	}
11			int *find_duplicates(const int *begin, const int *end, int *dups_end)
12			{
13			

main

find\_duplicates

contains

callgrind.out.16761 [1] - Total Cycle Estimation Cost: 415 509 971



# callgrind — Useful Options

## Instrumented code takes very long

- Start without instrumentation
- Switch on explicitly during runtime (by PID)

### Start Without Instrumentation

```
$ valgrind --tool=callgrind --instr-atstart=no ./program
```

### Switch on Instrumentation

```
$ callgrind_control --instr=on 16761
```

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# oprofile — How it Works

## How does it work?

- Hardware based: CPUs have performance counters/events
- NMI, trapped by Linux kernel
- Samples sent to userspace

## Compared to other tools ...

- Hardware → low overhead
  - 1-3% they say
- Support for wide variety of performance events
  - Cache miss
  - Branch prediction failure
  - Lots of others I don't understand (→ `ophelp`)
- *Just works!*

# oprofile — Basic Usage (1)

## Profiling a single executable

```
$ operf ./program
```

- Creates output directory `oprofile_data`
- Used by reporting tools
  - `opreport`
  - `opannotate`
  - `oparchive`
  - `opgprof`

## oprofile — Basic Usage (2)

### Report Everything

```
$ oprofile  
... long list of processes, library and kernel symbols ...
```

### Report Symbols and Their Counters

```
$ oprofile --symbols
```

### Take Debug Information Into Account

```
$ oprofile --debug-info
```

# oprofile — Too Much Information

- oprofile takes samples no matter what
- → too much information
  - Used shared libraries
  - Kernel

Exclude Shared Libraries and Kernel → *gprof Flat Profile*

```
$ oprofile --symbols --exclude-dependent
```

```
...
```

samples	%	symbol name
2828	88.5410	contains
358	11.2085	find_duplicates
8	0.2505	main

# oprofile — Call Graph

- oprofile collects samples in non-maskable interrupt
- → time critical
- does not (by default) record *caller* information with every sample

## Have `perf` Record Caller On Every Sample

```
$ perf --callgraph ./program
```

## Report Callers and Callees

```
$ oprofile --callgraph  
... no easy reading here ...
```

# oprofile — Kernel Symbols (1)

**Symbols** come from files → file mappings

- Executables
- Shared libraries
- Kernel modules

**Kernel** itself is not a file!

- Bootloader loads kernel image into memory
- Kernel not necessarily contained in the file system
- → Flash memory, network (PXE boot), ...

```
$ operf ./program
$ oprofile --symbols
...
8          0.4630  no-vmlinux          /no-vmlinux
...
```



# oprofile — Kernel Symbols (2)



operf **needs some help ...**

## Helping operf With Kernel Samples

```
$ operf --vmlinux=/root/linux-3.16.5-gentoo/vmlinux ./program
```

- vmlinux is not usually an artifact of the kernel build process
- → make vmlinux
- Redhat: kernel-debuginfo

# oprofile — Kernel Modules

## Samples from kernel modules ...

- Attributed to *module name* in *oprofile* output
- ... not a file
- ... but can be found in a file
- → *oprofile* (and friends) need to look it up

## Directing oprofile To Module Tree

```
$ oprofile \  
  --image-path=/lib64/modules/3.16.5-gentoo/kernel \  
  --symbols  
...
```

# oprofile — System-Wide Profiling

## System-Wide Profiling: Why?

- Application (“appliance”?) consists of multiple processes
- One or more kernel drivers play a role
- → one wants to know more about the big picture

## System Wide Profiling (have to be root for that)

```
# operf --system-wide  
# operf --system-wide --vmlinux=/path/to/vmlinux
```

→ Samples from *everywhere* ...

- Userland processes
- Kernel code used by system calls
- Kernel code from interrupt service routines
- Kernel code from kernel threads

# oprofile — Offline Profiling

## Absolutely cool: oparchive

- Samples gathered on production system
- Analyzed on development system
- → Transfer of every file involved

## Archiving on Production Machine

```
$ operf ./program # or whatever ...  
$ oparchive --output-directory=$HOME/operf-output  
$ tar -C $HOME -jcf operf-output.tar.bz2 operf-output
```

## Later, at Home

```
$ tar jxf operf-output.tar.bz2  
$ oprofile archive:./operf-output
```

# oprofile — Graphical Beauty

**Real men don't need no graphics** — but anyway, here it is ...

## Converting oprofile\_data/ into callgrind Stuff

```
$ oprofile -gdf|op2calltree
```

```
$ ls oprof.out.*
```

```
oprof.out.firefox                oprof.out.NetworkManager
oprof.out.gnome-settings-daemon  oprof.out.nm-applet
oprof.out.gnome-shell            oprof.out.operf
oprof.out.gnome-terminal-server
```

- One callgrind file for each process oprofile\_data/ has samples from
- Decadently Nicely viewable with kcachegrind

# Dummy